

# INVARIANT SUBSPACES OF WEAKLY COMPACT-FRIENDLY OPERATORS

MERT AĐLAR AND TUN MISIRLIOĐLU

ABSTRACT. We prove that if a non-zero weakly compact-friendly operator  $B$  on a Banach lattice with topologically full center is locally quasi-nilpotent, then the super right-commutant  $[B\rangle$  of  $B$  has a non-trivial closed invariant ideal. An example of a weakly compact-friendly operator which is not compact-friendly is also provided.

## 1. INTRODUCTION

Weakly compact-friendly operators have been defined in [3] as a natural extension of compact-friendly operators. Therein, it was shown [3, Theorem 2.3], among others, that a locally quasi-nilpotent weakly compact-friendly operator on a Banach lattice has a non-trivial closed invariant ideal. The purpose of this note is to extend some results in [1] and [4] in the setting of weakly compact-friendly operators on Banach lattices with topologically full center. In doing so, we also provide an example of a weakly compact-friendly operator which is not compact-friendly.

Throughout the paper  $E$  denotes an infinite-dimensional Banach lattice. As usual,  $\mathcal{L}(E)$  and  $\mathcal{L}(E)^+$  stand, respectively, for the algebra of all bounded linear operators and the collection of all positive operators on  $E$ . For a positive operator  $B$  on a Banach lattice  $E$ , the *super right-commutant*  $[B\rangle$  of  $B$  is defined by

$$[B\rangle := \{A \in \mathcal{L}(E)^+ \mid AB - BA \geq 0\}.$$

A subspace  $V$  of a Banach space  $X$  is called *non-trivial* if  $\{0\} \neq V \neq X$ . If  $V$  is a subspace of a Banach lattice and if  $v \in V$  and  $|u| \leq |v|$  imply  $u \in V$ , then  $V$  is called an *ideal*. A subspace  $V$  of a Banach space  $X$  for which  $TV \subseteq V$  for a bounded operator  $T$  on  $X$  is called an *invariant subspace* for  $T$  or a  *$T$ -invariant subspace*.

An operator  $T$  on  $E$  is said to be *dominated* by a positive operator  $B$  on  $E$ , denoted by  $T \prec B$ , provided  $|Tx| \leq B|x|$  for each  $x \in E$ . An operator on  $E$  which is dominated by a multiple of the identity operator is called a *central operator*. The

---

2000 *Mathematics Subject Classification*. Primary 47A15, 47B60, 47B65, 46B42.

*Key words and phrases*. Banach lattice, topologically full center, invariant subspace, weakly compact-friendly.

collection of all central operators on  $E$  is denoted by  $Z(E)$  and is referred to as the **center** of the Banach lattice  $E$ . A positive operator  $B : E \rightarrow F$  between two Banach lattices is said to be a **lattice homomorphism** if  $B(x \vee y) = Bx \vee By$  for all  $x, y \in E$ . Every positive central operator is a lattice homomorphism. A positive operator  $B$  on  $E$  is said to be **compact-friendly** [1] if there exist three non-zero operators  $R, K$ , and  $C$  on  $E$  with  $R, K$  positive and  $K$  compact such that  $R$  and  $B$  commute, and  $C$  is dominated by both  $R$  and  $K$ . It is worth mentioning that the notion of compact-friendliness is of substance only on infinite-dimensional Banach lattices, since every positive operator on a finite-dimensional Banach lattice is compact. Also, if  $B$  is compact, letting  $R = K = C = B$  in the definition, it is seen that compact operators are compact-friendly, but the converse is not true as the identity operator on an infinite-dimensional space shows. Furthermore, it is straightforward to observe that any power (even every polynomial with non-negative coefficients) of a compact-friendly operator is also compact-friendly. A fairly complete treatment of compact-friendly operators is given in [1]. Lastly, an operator from a Banach lattice to a Banach space is **AM-compact** if it takes order intervals into relatively compact sets. Clearly, each compact operator is necessarily **AM-compact**.

For all unexplained notation and terminology, we refer to [1, 2].

**Definition 1.1.** *A positive operator  $B \in \mathcal{L}(E)$  is called **weakly compact-friendly** if there exist three non-zero operators  $R, K$ , and  $C$  on  $E$  with  $R, K$  positive and  $K$  compact such that  $R \in [B]$ , and  $C$  is dominated by both  $R$  and  $K$ .*

Let us start by recalling some more terminology. A continuous function  $\varphi : \Omega \rightarrow \mathbb{R}$ , where  $\Omega$  is a topological space, has a **flat** if there exists a non-empty open set  $\Omega_0$  in  $\Omega$  such that  $\varphi$  is constant on  $\Omega_0$ . If  $\Omega$  is a compact Hausdorff space and  $\varphi : \Omega \rightarrow \mathbb{R}$  is a continuous function, then  $M_\varphi : C(\Omega) \rightarrow C(\Omega)$  denotes the **multiplication operator** generated by  $\varphi$ , i.e., for each function  $f \in C(\Omega)$  and each  $\omega \in \Omega$  we have  $(M_\varphi f)(\omega) := \varphi(\omega)f(\omega)$ , or briefly  $M_\varphi f = \varphi f$ . The function  $\varphi$  is called the **multiplier**. It is straightforward to check that a multiplication operator  $M_\varphi$  is positive if and only if the multiplier  $\varphi$  is positive.

The following result, which is Theorem 10.65 in [1], characterizes compact-friendly multiplication operators on  $C(\Omega)$ -spaces.

**Theorem 1.2.** *A positive multiplication operator  $M_\varphi$  on a  $C(\Omega)$ -space, where  $\Omega$  is a compact Hausdorff space, is compact-friendly if and only if the multiplier  $\varphi$  has a flat.*

Unlike Theorem 1.2, the multiplier of a positive multiplication operator having a flat is not necessary for the multiplication operator to be weakly compact-friendly. This fact, which is the subject matter of the following example, also shows that there are weakly compact-friendly operators that are not compact-friendly.

**Example 1.3.** Consider the space  $E$  of all continuous functions  $f : [0, 1/2] \rightarrow \mathbb{R}$  equipped with the usual uniform norm. The multiplication operator  $M_\varphi : E \rightarrow E$  with the multiplier  $\varphi$  defined by  $\varphi(\omega) := 1 - 2\omega$  for all  $\omega \in [0, 1/2]$  is not compact-friendly by Theorem 1.2, since  $\varphi$  has no flats. To see that  $M_\varphi$  is weakly compact-friendly, choose  $R = C = K$  as the required three operators for the weak compact-friendliness of  $M_\varphi$ , where  $K$  is the rank-one (and hence, compact) operator on  $E$  defined by  $(Kf)(\omega) := (1 - 2\omega)f(0)$  for all  $f \in E$  and  $\omega \in [0, 1/2]$ .

## 2. INVARIANT SUBSPACES OF WEAKLY COMPACT-FRIENDLY OPERATORS

We start this section, in which the main results of the present note are provided, with the notion of topological fullness of the center of a Banach lattice.

**Definition 2.1.** *The center  $Z(E)$  of a Banach lattice  $E$  is called **topologically full** if whenever  $x, y \in E$  with  $0 \leq x \leq y$  one can find a sequence  $(T_n)_{n \in \mathbb{N}}$  in  $Z(E)$  such that  $\|T_n y - x\| \rightarrow 0$ .*

Banach lattices with topologically full center were initiated in [5]. Spaces of this kind are quite large and contain, for instance, Banach lattices with quasi-interior points and Dedekind  $\sigma$ -complete Banach lattices (see [5, 6] for details).

Before proceeding, let us first observe that [6] if  $0 \leq x \leq y$  and  $T_n y \rightarrow x$ , then one has  $(T_n^+ \wedge I)y = (T_n y)^+ \wedge y \rightarrow x \wedge y = x$ , so we may assume that  $0 \leq T_n \leq I$  for all  $n \in \mathbb{N}$ . Set  $Z(E)_{1+} := \{T \in Z(E) \mid 0 \leq T \leq I\}$ .

It is shown in [4, Theorem 3.10] that for a locally quasi-nilpotent positive operator  $B$  on a Banach lattice  $E$  with a quasi-interior point for which  $[B]$  contains an operator which dominates a non-zero  $AM$ -compact operator,  $[B]$  has an invariant closed ideal. The following result extends this to positive operators on a Banach lattice with topologically full center, following similar lines of thought.

**Theorem 2.2.** *Suppose that  $B$  is a positive operator on a Banach lattice  $E$  with topologically full center such that*

- (i)  *$B$  is locally quasi-nilpotent at some  $x_0 > 0$ , and*
- (ii) *there is  $S \in [B]$  such that  $S$  dominates a non-zero  $AM$ -compact operator  $K$ .*

Then  $[B]$  has an invariant closed ideal.

*Proof.* Since the null ideal  $N_B$  of  $B$  is  $[B]$ -invariant, we may assume that  $N_B = \{0\}$ . Let  $z \in E$  such that  $Kz \neq 0$ . This means that at least one of the vectors  $(Kz)^+$  and  $(Kz)^-$  is non-zero. Suppose  $(Kz)^+ \neq 0$ . Then, by topological fullness of  $Z(E)$ , there exists an operator  $M \in Z(E)_{1+}$  such that  $M|Kz| \neq 0$ . Indeed, otherwise for all  $M \in Z(E)_{1+}$  we would have  $M|Kz| = 0$ . But then, for the sequence  $(T_n)_{n \in \mathbb{N}}$  in  $Z(E)_{1+}$  with  $T_n(|Kz|) \rightarrow (Kz)^+$  in norm, we would have  $(Kz)^+ = 0$ , which is a contradiction. Suppose now that there exists  $M \in Z(E)_{1+}$  such that  $M|Kz| \neq 0$ . From  $M((Kz)^+) + M((Kz)^-) \neq 0$  it follows that  $M((Kz)^+) \neq 0$  or  $M((Kz)^-) \neq 0$ . Suppose that  $M((Kz)^+) \neq 0$ . But since  $M$  is a lattice homomorphism, we have  $(MKz)^+ \neq 0$ , and so it follows from  $M((Kz)^+) \wedge M((Kz)^-) = (MKz)^+ \wedge (MKz)^- = 0$  that  $(MKz)^- = 0$  and  $MKz > 0$ . Put  $K_1 := MK$ . It follows from  $N_B = \{0\}$  that  $BK_1z \neq 0$ , hence  $BK_1 \neq 0$ . It is also clear that  $BK_1$  is  $AM$ -compact and is dominated by  $BS$ .

Let  $\mathcal{J}$  be the semigroup ideal in  $[B]$  generated by  $BS$ , that is,

$$\mathcal{J} = \{A_1BSA_2 \mid A_1, A_2 \in [B]\}.$$

It can be verified directly that  $\mathcal{J}$  is finitely quasi-nilpotent at  $x_0$ . Since  $BS \in \mathcal{J}$  and  $BS$  dominates a non-zero  $AM$ -compact operator,  $\mathcal{J}$  has an invariant closed ideal by [1, Theorem 10.44]. Now [1, Theorem 10.49] yields that  $[B]$  has an invariant closed ideal.  $\square$

The next result is a generalization of [1, Theorem 10.57] which states that if a non-zero compact-friendly operator  $B$  on a Dedekind-complete Banach lattice  $E$  is locally quasi-nilpotent, then there exists a non-trivial closed ideal that is invariant under  $[B]$ . We show that Dedekind completeness and compact-friendliness are not needed and that  $E$  having topologically full center and  $B$  being weakly compact-friendly are sufficient. The proof is a modification of the proof of Theorem 10.57 in [1] and uses Theorem 2.2.

**Theorem 2.3.** *Let  $E$  be a Banach lattice with topologically full center. If  $B$  is a locally quasi-nilpotent weakly compact-friendly operator on  $E$ , then  $[B]$  has a non-trivial closed invariant ideal.*

*Proof.* For each  $x > 0$ , denote by  $J_x$  the ideal generated by the orbit  $[B]x$ ; that is

$$J_x := \{y \in E \mid |y| \leq Ax \text{ for some } A \in [B]\}.$$

Since the identity operator belongs to  $[B]$ , we have that  $x \in J_x$ , so this is a non-zero ideal. Note that  $J_x$  is  $[B]$ -invariant: because, if  $y \in J_x$ , then  $|y| \leq Ax$  for some  $A \in [B]$  and hence for any  $A_1 \in [B]$  we have

$$|A_1y| \leq A_1|y| \leq A_1Ax,$$

yielding that  $A_1y \in J_x$  since the operator  $A_1A$  belongs to  $[B]$  which is a multiplicative semigroup. Therefore, in case where there exists a positive  $x \in E$  such that the ideal  $J_x$  is not norm-dense in  $E$ , the proof is complete. So, suppose that  $\overline{J_x} = E$  for each  $x > 0$ .

Fix three non-zero operators with  $R, K$  positive,  $K$  compact, and satisfying

$$BR \leq RB, \quad |Cx| \leq C|x|, \quad \text{and} \quad |Cx| \leq K|x| \quad \text{for each } x \in E.$$

Since  $C \neq 0$  there exists some  $x_1 > 0$  such that  $Cx_1 \neq 0$ . This means that at least one of the vectors  $(Cx_1)^+$  and  $(Cx_1)^-$  is non-zero. Suppose  $(Cx_1)^+ \neq 0$ . Then, by topological fullness of  $Z(E)$ , there exists an operator  $M_1 \in Z(E)_{1+}$  such that  $M_1|Cx_1| \neq 0$ . Indeed, otherwise for all  $M_1 \in Z(E)_{1+}$  we would have  $M_1|Cx_1| = 0$ . But then, for the sequence  $(T_n)_{n \in \mathbb{N}}$  in  $Z(E)_{1+}$  with  $T_n(|Cx_1|) \rightarrow (Cx_1)^+$  in norm, we would have  $(Cx_1)^+ = 0$ , which is a contradiction. Suppose now that there exists  $M_1 \in Z(E)_{1+}$  such that  $M_1|Cx_1| \neq 0$ . From  $M_1((Cx_1)^+) + M_1((Cx_1)^-) \neq 0$  it follows that  $M_1((Cx_1)^+) \neq 0$  or  $M_1((Cx_1)^-) \neq 0$ . Suppose that  $M_1((Cx_1)^+) \neq 0$ . But since  $M_1$  is a lattice homomorphism, we have  $(M_1Cx_1)^+ \neq 0$ , and so it follows from  $M_1((Cx_1)^+) \wedge M_1((Cx_1)^-) = (M_1Cx_1)^+ \wedge (M_1Cx_1)^- = 0$  that  $(M_1Cx_1)^- = 0$  and  $M_1Cx_1 > 0$ . Let  $x_2 := M_1Cx_1 > 0$  and  $\pi_1 := M_1C$ . Note that  $\pi_1$  is dominated by  $R$  and  $K$ .

Now we have  $\overline{J_{x_2}} = E$ , and since  $C \neq 0$  there exists some  $y \in J_{x_2}$  and an operator  $A_1 \in [B]$  such that  $0 < y \leq A_1x_2$  and  $Cy \neq 0$ . We claim that there exists  $M \in Z(E)_{1+}$  such that  $CMA_1x_2 \neq 0$ . Otherwise, if  $CMA_1x_2 = 0$  for all  $M \in Z(E)_{1+}$ , we would have  $CT_nA_1x_2$  for each  $n \in \mathbb{N}$  for the sequence  $(T_n)_{n \in \mathbb{N}}$  for which  $T_nA_1x_2 \rightarrow y$ . This would yield  $CT_nA_1x_2 \rightarrow Cy$  and  $Cy = 0$ , which is a contradiction. Since  $CMA_1x_2 \neq 0$ , one has  $|CMA_1x_2| \neq 0$ . Suppose  $(CMA_1x_2)^+ \neq 0$ . By topological fullness of  $Z(E)$ , there exists a sequence  $(T_n)_{n \in \mathbb{N}}$  in  $Z(E)_{1+}$  such that  $T_n(|CMA_1x_2|) \rightarrow (CMA_1x_2)^+$ . Since  $(CMA_1x_2)^+ \neq 0$ , not all  $T_n(|CMA_1x_2|)$  are zero, and we can choose  $M_2 \in Z(E)_{1+}$  with  $M_2|CMA_1x_2| \neq 0$ . Notice that  $M_2((CMA_1x_2)^+) \wedge M_2((CMA_1x_2)^-) = 0$ . Since  $M_2((CMA_1x_2)^+) \neq 0$ , we have  $M_2((CMA_1x_2)^-) = (M_2CMA_1x_2)^- = 0$ , which yields  $M_2CMA_1x_2 > 0$ . Put  $x_3 := M_2CMA_1x_2 > 0$  and  $\pi_2 := M_2CMA_1$  and

observe that  $\pi_2$  is dominated by  $RA_1$  and  $KA_1$ . Repeating once more the preceding argument with  $x_2$  replaced by  $x_3$ , we then obtain an operator  $A_2 \in [B]$  and an operator  $\pi_3 : E \rightarrow E$  such that  $\pi_3 x_3 > 0$  and  $\pi_3$  is dominated by  $RA_2$  and  $KA_2$ . From  $\pi_3 \pi_2 \pi_1 x_1 = \pi_3 x_3 > 0$ , we see that  $\pi_3 \pi_2 \pi_1 \neq 0$ .

Set  $S := RA_2 RA_1 R \geq 0$ . Since  $|\pi_3 \pi_2 \pi_1 x| \leq S|x|$  for each  $x \in E$ , it follows that  $S \neq 0$ . Moreover, since each  $\pi_i$  ( $i = 1, 2, 3$ ) is dominated by a compact operator, we have by [2, Theorem 5.14] that  $\pi_3 \pi_2 \pi_1$  is compact. Moreover, because  $R$ ,  $A_1$ , and  $A_2$  belong to  $[B]$ , so does  $S$ . Thus,  $[B]$  contains a non-zero positive operator which dominates a compact operator. Now, invoke Theorem 2.2 to complete the proof.  $\square$

#### REFERENCES

- [1] Y.A. Abramovich & C.D. Aliprantis, *An Invitation to Operator Theory*, Graduate Studies in Mathematics, Vol. 50, American Mathematical Society, Providence, RI, 2002.
- [2] C.D. Aliprantis & O. Burkinshaw, *Positive Operators*, Springer, The Netherlands, 2006.
- [3] M. Çağlar & T. Mısırlıoğlu, “Weakly compact-friendly operators,” *Vladikavkaz Mat. Zh.* **11** (2009), no. 2, 27-30.
- [4] J. Flores, P. Tradacete & V.G. Troitsky, “Invariant subspaces of positive strictly singular operators on Banach lattices,” *J. Math. Anal. Appl.* **343** (2008), no. 2, 743-751.
- [5] A.W. Wickstead, “Extremal structure of cones of operators,” *Quart. J. Math. Oxford* **32** (1981), no. 2, 239-253.
- [6] A.W. Wickstead, “Banach lattices with topologically full centre,” *Vladikavkaz Mat. Zh.* **11** (2009), no. 2, 50-60.

(MERT ÇAĞLAR) DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, İSTANBUL KÜLTÜR UNIVERSITY, BAKIRKÖY 34156, İSTANBUL, TURKEY

*E-mail address:* m.caglar@iku.edu.tr

(TUNÇ MISIRLIOĞLU) DEPARTMENT OF MATHEMATICS AND COMPUTER SCIENCE, İSTANBUL KÜLTÜR UNIVERSITY, BAKIRKÖY 34156, İSTANBUL, TURKEY

*E-mail address:* t.misirlioglu@iku.edu.tr