

# ROLE OF POWER SERIES SPACES IN THE STRUCTURE THEORY OF NUCLEAR FRÉCHET SPACES

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Most of the locally convex spaces appearing in the theory of distributions, as well as spaces of analytic functions of several variables, are nuclear. Many of the important examples of these spaces are either Fréchet or duals of Fréchet spaces or they can be represented as inductive limits of Fréchet spaces or their duals. The structure theory of nuclear Fréchet spaces has captured the attention of analysts from the time of the introduction of the concept of nuclearity by A. Grothendieck. An early theorem of Dynin and Mitiagin states that if a nuclear Fréchet space has a Schauder basis, then it is canonically isomorphic to a nuclear Köthe space. Although not every nuclear Fréchet space has a Schauder basis by a result of Mitiagin and Zobin, there are many concrete examples which do. In these examples the basis is usually constructed by a Taylor expansion. Therefore it is natural to try to understand the structure of nuclear Fréchet spaces in terms of Köthe spaces. Of course among Köthe spaces, power series spaces have a prominent place.

Subspaces and quotient spaces of stable power series spaces have been characterized completely in terms of diametral dimension and invariants of  $DN$  and  $\Omega$ -type introduced by Vogt. Although power series spaces were the source of these invariants initially,  $DN$  and  $\Omega$ -type invariants were subsequently used widely in tackling new and old problems, like the existence of nuclear Fréchet spaces without the bounded approximation property.

This survey is divided into nine sections. In the first two sections we introduce diametral dimension and Köthe spaces. We give the characterization of nuclearity in terms of diametral dimension in section three. In section four, we discuss very shortly bases in nuclear Fréchet spaces and also mention some open problems. Most of the results surveyed in these sections can be found in research monographs.

In section five we discuss the pioneering work of Vogt in characterizing subspaces and quotient spaces of stable power series spaces. Although we do not give full proofs in most cases, we tried to point out the main ideas and methods. Sections six, seven and eight contain the results obtained by Aytuna, Krone and Terzioğlu. The concept of associated exponent sequence is explained. The general results are exploited to determine the structure of certain spaces of analytic functions and solution spaces of linear partial differential operators. The final section contains same yet unpublished results.

This survey was written after the author delivered a series of talks on this topic in the Karaköy seminars some years ago, although the results of section nine are very recent. Needless to say most of the theorems are given without proofs but with references to original articles. We hope that this survey demonstrates some aspects of the rich theory of the structure of Fréchet spaces

and serves as a guide for further study.

## 1. DIAMETRAL DIMENSION

Let  $A$  and  $B$  be two absolutely convex subsets of a locally convex space  $E$  such that  $A \subset \rho B$  for some  $\rho > 0$ . We define the  $n$ -th *Kolmogorov diameter* of  $A$  with respect to  $B$  with

$$d_n(A, B) = \inf \inf \{d > 0 : A \subset dB + L\}$$

where the second infimum is taken over all subspaces  $L$  of  $E$  with dimension not exceeding  $n$ . The  $n$ -th *Gelfand number* is similarly defined as

$$g_n(A, B) = \inf \inf \{d > 0 : A \cap M \subset dB\}$$

where the second infimum is taken over all closed subspaces  $M$  of  $E$  with codimension not exceeding  $n$ .

Let  $\mathcal{U}(E)$  be a base of neighborhoods of  $E$  consisting of absolutely convex and closed subsets and  $\mathcal{B}(E)$  all absolutely convex, closed and bounded subsets of  $E$ .

We define the *diametral dimension*  $\Delta(E)$  of  $E$  as the set of all real sequences  $(\xi_n)$  such that for every  $\mathcal{U} \in \mathcal{U}(E)$  there is a  $V \in \mathcal{U}(E)$  with  $\lim \xi_n d_n(V, U) = 0$ . Similarly,  $\Delta_B(E)$  is the set of all real sequences  $(\xi_n)$  such that  $\lim \xi_n d_n(B, U) = 0$  for every  $B \in \mathcal{B}(E)$  and  $\mathcal{U} \in \mathcal{U}(E)$ .

If we replace the Kolmogorov diameters with Gelfand numbers in the above, what we have will be denoted by  $\Gamma(E)$  and  $\Gamma_B(E)$  respectively.

- Remarks 1.1.**
- (1)  $\Delta, \Delta_B, \Gamma$  and  $\Gamma_B$  are independent of our choice of the base  $\mathcal{U}(E)$  and they are isomorphic invariants. That is, if two locally convex spaces  $E$  and  $F$  are isomorphic then,  $\Delta(E) = \Delta(F)$ ,  $\Delta_B(E) = \Delta_B(F)$ ,  $\Gamma(E) = \Gamma(F)$  and  $\Gamma_B(E) = \Gamma_B(F)$ .
  - (2) We have  $c_0 \subset \Delta(E) \subset \Delta_B(E)$  in general. For any infinite dimensional normed space  $E$ , it is easy to see  $c_0 = \Delta(E) = \Delta_B(E)$ . Therefore for normed spaces of infinite dimension, these invariants are useless.
  - (3) Let  $\omega = R^N$  denote the space of all real sequences. Then we have  $\Delta(\omega) = \Delta(R^k) = \omega$  for any integer  $k \geq 1$ .
  - (4) Let  $U$  be the closed unit ball of a normed space  $E$  and  $A \in \mathcal{B}(E)$ .  $A$  is precompact if and only if  $\lim d_n(A, U) = 0$ . From this we can obtain that every bounded subset of a locally convex space  $E$  is precompact if and only if  $\ell_\infty \subset \Delta_B(E)$ .
  - (5) Similarly, a locally convex space  $E$  is a Schwartz space if and only if  $\ell_\infty \subset \Delta(E)$ .
  - (6) Let  $F$  be a  $FM$ -space which is not a Schwartz space ([18]; §30). Then  $\Delta(F) = c_0$  but  $\ell_\infty \subset \Delta_B(F)$ . Hence even for Fréchet spaces  $\Delta$  and  $\Delta_B$  do not coincide.

In the preceding remarks, we can replace the Kolmogorov diameters with the Gelfand numbers or  $\Delta$  with  $\Gamma$ ,  $\Delta_B$  with  $\Gamma_B$  and obtain the same results. All of these can be found for example

in [34]. For a general references to Fréchet spaces see Jarchow [17] Meise and Vogt [24] Köthe [18]

## 2. KÖTHE SPACES

Let  $A$  be a set of non-negative sequences with the following properties:

- (1)  $\forall i \exists a \in A$  with  $a_i > 0$
- (2)  $\forall a, b \in A \exists c \in A$  with  $\max\{a_n, b_n\} \leq c_n$  for all  $n \in N$ .

Such a set  $A$  is called a Köthe set.

We denote by  $\lambda(A)$  the set of all scalar sequences  $\xi = (\xi_n)$  such that

$$p_a(\xi) = \sum |\xi_n| a_n < \infty$$

for all  $a \in A$ . It is easily seen that  $\lambda(A)$  is a locally convex space when equipped with the semi-norms  $p_a(\cdot), a \in A$ .

Suppose each  $a \in A$  satisfies  $0 < a_1 \leq a_2 \leq \dots$  and  $\forall a \in A \exists b \in A$  with  $a_n^2 = 0(b_n)$ . We call the sequence space  $\lambda(A)$  in this case a  $G_\infty$ -space ([34]) and we have

$$\Delta(\lambda(A)) = \{\xi : \exists a \in A \text{ with } \xi_n = 0(a_n)\} = \lambda(A)'$$

The notation  $a_n = 0(b_n)$  simply means that there is some  $\rho > 0$  with  $a_n \leq \rho b_n, \forall n \in N$ . Somewhat dual to the concept of a  $G_\infty$ -space is  $G_1$ -spaces, which is defined by:

$$\begin{aligned} \forall a \in A \quad \text{we have} \quad a_n \geq a_{n+1} > 0 \text{ and} \\ \forall a \in A \exists b \in A \quad \text{with} \quad a_n = 0(b_n^2). \end{aligned}$$

In this case we have

$$\Delta(\lambda(A)) = \lambda(A).$$

$G_\infty$  and  $G_1$ -spaces are called *smooth sequence spaces* of infinite or finite type respectively. For further properties of these classes of sequence spaces we refer to [34], [35] and [36]. Let  $0 \leq a_n^k \leq a_n^{k+1} \forall k, n \in N$  and for each  $i \in N$  we assume  $a_i^k > 0$  for some  $k \in N$ . Then the sequence space  $\lambda(A)$  is a Fréchet space and it will be called a *Köthe space* in that follows. Let  $0 < \alpha_1 \leq \alpha_2 \leq \dots$  with  $\lim \alpha_n = \infty$ . If

$$P = \{(e^{k\alpha_n}) : k, n \in N\}$$

the Köthe space  $\lambda(P)$  will be called a *power series space of infinite-type* and it will be denoted by  $\Lambda_\infty(\alpha)$ .

On the other hand, if we let  $Q = \{(e^{r_k \alpha_n}) : k, n \in N\}$  where  $r_1 \leq \dots \leq r_k < 0, \lim r_k = 1$ , the Köthe space  $\lambda(Q)$  will be called a *power series space of finite-type* and denoted by  $\Lambda_1(\alpha)$ .

$\Lambda_\infty(\alpha)$  is an example of a  $G_\infty$ -space and  $\Lambda_1(\alpha)$  an example of a  $G_1$ -space. From comparison of diametral dimensions, we can easily observe that  $\Lambda_\infty(\alpha)$  is isomorphic to  $\Lambda_\infty(\beta)$  if and only if  $\alpha_n = 0(\beta_n)$  and  $\beta_n = 0(\alpha_n)$ . (See Prop. 2.3. below) Same result is true for power series spaces

of finite type. On the other hand, these two classes are essentially different, since we know that each continuous linear map from  $\Lambda_1(\alpha)$  into  $\Lambda_\infty(\beta)$  maps a neighborhood onto a precompact subset ([47], [12]). Hence no infinite dimensional quotient space of  $\Lambda_1(\alpha)$  is isomorphic to a subspace of  $\Lambda_\infty(\beta)$ . However,  $\Lambda_\infty(\beta)$  can be isomorphic to a subspace of  $\Lambda_1(\beta)$  ([13], [28]).

A linear map  $T : E \rightarrow F$  is called *compact* (or *bounded*) if  $T(U)$  is a precompact (or bounded) subset of  $F$  for some  $U \in \mathcal{U}(E)$ . We write  $(E, F) \in \kappa$  or  $(E, F) \in \mathcal{B}$  if every continuous linear map  $T : E \rightarrow F$  is compact or bounded. Let us recall that a locally convex space has a precompact neighborhood if and only if the space is finite dimensional. Also, we should remember that only normed spaces admit bounded neighborhoods. Therefore it follows that if  $(E, F) \in \mathcal{B}$  and neither  $E$  nor  $F$  are normed spaces, then  $E$  cannot be isomorphic to a subspace of  $F$  or  $F$  cannot be isomorphic to a quotient space of  $E$ . It is trivial that  $(E, F) \in \kappa$  always implies  $(E, F) \in \mathcal{B}$ . If every bounded subset of  $F$  is precompact (that is  $F$  is a *quasi-Montel space*), or if  $E$  is a Schwartz space, then  $(E, F) \in \kappa$  implies conversely  $(E, F) \in \mathcal{B}$ . The relation  $\kappa$  was systematically utilized by Zahariuta in [47] to examine the isomorphisms of cartesian products of locally convex spaces. There is quite an amount of literature where Zahariuta's method was used or generalized. We mention only [12] and its references. In [42] Vogt characterized pairs of Fréchet spaces satisfying the relation  $(E, F) \in \mathcal{B}$ . His abstract characterization which depends on the Grothendieck factorization theorem, yields complete answers when either  $E$  or  $F$  is a power series space.

The definition of Köthe spaces has been generalized in various directions. Following [39], we will call a Banach space  $(\ell, \|\cdot\|)$  of scalar sequences *admissible*, if it satisfies the following conditions:

- (i) for  $a \in \ell_\infty$ ,  $x \in \ell$  the sequence  $ax = (a_n x_n) \in \ell$  and  $\|ax\| \leq \|a\|_\infty \|x\|$
- (ii)  $\|e_n\| = 1$  for all  $n \in N$ .

The classical sequence spaces  $\ell_p$ ,  $1 \leq p \leq \infty$ , and  $c_0$  are the best known examples of admissible sequence spaces. One can construct many other classes of admissible spaces, for example by using Orlicz functions or by taking the  $\alpha$ -dual of an admissible space  $\ell$  where  $\ell^\alpha = \{a : ax \in \ell, \forall x \in \ell\}$  or by taking a monotone norm  $\|\cdot\|$  defined on the space  $\varphi$  of sequences with  $\|e_n\| = 1$  for all  $n$ , the completion of  $(\varphi, \|\cdot\|)$  we get another admissible space.

For an admissible space  $\ell$ , we define  $\lambda^\ell(A)$  to be the space of all sequences  $x = (x_n)$  such that  $xa = (x_n a_n) \in \ell$  for every  $a \in A$ . With the seminorms

$$\|x\|_a = \|ax\|$$

$\lambda^\ell(A)$  is a complete locally convex space and if the Köthe set  $A$  is countable an  $F$ -space. The usual Köthe space  $\lambda(A)$  is of course  $\lambda^{\ell_1}(A)$ . There is an extensive literature for  $\lambda^{\ell_p}(A)$ ,  $1 \leq p \leq \infty$  or  $\lambda^{\ell_0}(A)$ . We refer to the bibliography in [39].

Let  $A$  be a Köthe set and  $a, b \in A$  with  $a_n \leq b_n$  for all  $n \in N$ . We assume  $a_n/b_n = 0$  if  $b_n = 0$ . Let

$$U_a = \{x \in \lambda^\ell(A) : \|x\|_a \leq 1\}.$$

With this notation we have

**Proposition 2.1.** *Let  $J \subset N$  with  $|J| = n + 1$  and  $a_n > 0$  for all  $n \in J$ . Let  $I \subset N$  with  $|I| \leq n$ . Then*

$$\inf \left\{ \frac{a_j}{b_j} : j \in J \right\} \leq d_n(U_b, U_a) \leq \sup \left\{ \frac{a_i}{b_i} : i \notin I \right\}.$$

For the proof of this basic inequality we refer again to [39]. In particular if  $(a_n/b_n)$  is a decreasing sequence, this inequality yields

$$d_n(U_b, U_a) = a_n/b_n.$$

Using the basic inequality one can prove that the diametral dimension of  $\lambda^\ell(A)$  is independent of the admissible space  $\ell$  ([39]; Prop. 3).

Let  $A$  be a countable Köthe set and  $0 < a_n^k \leq a_n^{k+1}$  for all  $n \in N$ . We call  $\lambda^\ell(A)$  *regular* if

$$\frac{a_{n+1}^k}{a_{n+1}^{k+1}} \leq \frac{a_n^k}{a_n^{k+1}}.$$

For the simple proof of the following result we refer to [39] once more.

**Proposition 2.2.** *A regular Köthe space  $\lambda^\ell(A)$  is either a Schwartz space or it is isomorphic to  $\ell$  itself.*

The diametral dimension is a complete invariant for the class of power series spaces of finite type, as well as for the class of power series spaces of infinite type. This means that if  $\Delta(E) = \Delta(F)$  for  $E$  and  $F$  both power series spaces of infinite type or both of finite type, then  $E$  and  $F$  are isomorphic. This follows easily from the more general result  $\square$ .

**Proposition 2.3.** *For a  $G_1$ -space  $\lambda(Q)$  we have  $\Delta(\lambda(Q)) = \lambda^{c_0}(Q)$ . For a  $G_\infty$ -space  $\lambda(P)$  we have  $\Delta(\lambda(P)) = \lambda(P)'$ .*

For two exponent sequences  $\alpha$  and  $\beta$  let  $\gamma$  be the increasing rearrangement of the sequence  $(\alpha_1, \beta_1, \alpha_2, \beta_2, \dots)$ . The direct sum  $\Lambda_\infty(\alpha) \oplus \Lambda_\infty(\beta)$  is isomorphic to  $\Lambda_\infty(\gamma)$ . The same is true for finite-type power series spaces. In particular,  $\Lambda_\infty(\alpha) \oplus \Lambda_\infty(\alpha)$  is isomorphic to  $\Lambda_\infty(\alpha)$  if and only if  $\alpha_{2n} = 0(\alpha_n)$ . In this case we say  $\alpha$  is *stable*. We have  $\Lambda_\infty(\alpha) \oplus R^k \simeq \Lambda_\infty(\alpha)$ , for  $k \geq 1$ , if and only if  $\alpha_{n+1} = 0(\alpha_n)$ . In this case we say,  $\alpha$  is *shift-stable*. In the above, we can take finite type power series spaces instead of the infinite type and get the same results. More generally, for a  $G_\infty$ -space  $\lambda(P)$  we have that  $\lambda(P) \oplus \lambda(P) \simeq \lambda(P)$  if and only if  $\forall p \in P \exists p' \in P$  with  $p_{2n} = 0(p'_n)$ . Similarly for a  $G_1$ -space we have  $\lambda(Q) \simeq \lambda(Q) \oplus \lambda(Q)$  if and only if  $\forall q \in Q \exists q' \in Q$  with  $q_n = 0(q'_{2n})$ . All of these follow by diametral dimension arguments ([34]).

## 3. NUCLEAR SPACES AND DIAMETRAL DIMENSION

Nuclear locally convex spaces were first defined and explored systematically by Grothendieck in his thesis [16]. His definition is in terms of topological tensor products and it is as follows: A locally convex space  $E$  is called *nuclear* if the completion of the  $\pi$ -tensor product  $E\widetilde{\otimes}_\pi F$  is isomorphic to the completion of the  $\epsilon$ -tensor product  $E\widetilde{\otimes}_\epsilon F$  for every locally convex space  $F$ . Even a superficial glance to this definition will show how difficult it is to check whether a given locally convex space is nuclear or not. Later A. Pietsch reformulated the definition of nuclearity in terms of summable families, absolutely summing maps, nuclear, quasi-nuclear and Hilbert-Schmidt maps and thus the theory of nuclear locally convex spaces became much more accesible. The original version of Pietsch's book [31] was published in German in 1965, where several equivalent definitions of nuclearity are stated starting at p. 62. His book also contains the following characterization in terms of diametral dimension which is due to Dynin and Mitiagin [15]. (cf. [25]).

**Theorem 3.1.** *The following conditions are equivalent for a locally convex space  $E$ .*

- (i)  $E$  is nuclear
- (ii)  $(n^k) \in \Delta(E)$  for some  $k \geq 1$
- (iii)  $(n^k) \in \Delta(E)$  for all  $k \geq 1$ .

It is easy to see that the Köthe space  $\lambda(A)$ ,  $A = \{(n^k) : k = 1, 2, \dots\}$  is in fact equal to the power series space  $\Lambda_\infty(\log(n+1))$ , which in turn is denoted by  $s$ . This is the space of *rapidly decreasing sequences*. Hence  $s' = \lambda(A)' = \{(\xi_n) : \xi_n = O(n^k) \text{ for some } k \geq 1\}$ . Hence we can reformulate our theorem as follows

**Theorem 3.2.**  $E$  is nuclear  $\Leftrightarrow s' \subset \Delta(E)$ .

Since  $\ell_\infty \subset s'$ , we have that every nuclear space is a Schwartz space. In particular a nuclear Fréchet space is a Montel space. Also, if  $E$  is a nuclear locally convex space, then we can find a base of neighborhoods  $\mathcal{U}(E)$  such that each  $U \in \mathcal{U}(E)$  has a gauge

$$p_u(x) = \inf\{d > 0 : x \in dU\}$$

is actually defined by a semi-inner product  $(| \cdot |)_u$

$$p_u(x) = (x|x)_u^{1/2}.$$

This yields the following result

**Proposition 3.3.** *If  $E$  is nuclear, then  $\Delta(E) = \Gamma(E)$ . If  $F$  is a subspace or a quotient space of  $E$ , then  $\Delta(E) \subset \Delta(F)$ .*

Let us consider now the special class of sequence spaces. Both of the following results can be derived by using diametral dimension.

**Proposition 3.4.**  $\lambda(A)$  is a Schwartz space  $\Leftrightarrow \forall a \in A \exists b \in A$  with  $a_n = o(b_n)$   $a_n = o(b_n)$ , which means  $a_n \leq \xi_n b_n$  for some sequence  $(\xi_n)$  which converges to zero.

Similar to the above we give a characterization of nuclear sequence spaces, which is called the Grothendieck-Pietsch criterion.

**Theorem 3.5.**  $\lambda(A)$  is a nuclear space  $\Leftrightarrow \forall a \in A \exists b \in A$  and  $(\xi_n) \in \ell_1$  with  $a_n \leq \xi_n b_n$ .

In the definition of  $\lambda(A)$ , if we replace the  $\ell_1$ -seminorm  $p_a(\cdot)$  by

$$\left( \sum_{n=1}^{\infty} |\xi_n|^p a_n^p \right)^{1/p} < \infty, \quad 1 \leq p < \infty$$

or

$$\sup |\xi_n| a_n < \infty, \quad (\text{case } p = \infty)$$

we get locally convex spaces denoted by  $\lambda^p(A)$ ,  $1 \leq p \leq \infty$ , which are different in general. A rather simple, but highly useful consequence of the previous theorem states that in case of nuclearity these spaces are the same. (cf. [39])

**Corollary 3.6.** If  $\lambda(A)$  is nuclear, then  $\lambda^\ell(A) = \lambda(A)$  for any admissible space  $\ell$ , and the topologies are the same.

The converse is also true but this not very useful in applications. Finally we note that  $\Lambda_\infty(\alpha)$  is nuclear  $\Leftrightarrow \log(n+1) = o(\alpha_n) \Leftrightarrow (q^{\alpha_n}) \in \ell_1$  for some  $0 < q < 1$ . However in case of finite type power series spaces, things are a little different:  $\Lambda_1(\alpha)$  is nuclear  $\Leftrightarrow (q^{\alpha_n}) \in \ell_1$  for all  $0 < q < 1$ . More generally, a  $G_\infty$ -space  $\lambda(P)$  is nuclear  $\Leftrightarrow s' \subset \lambda(P)' \Leftrightarrow n = O(p_n)$  for some  $(p_n) \in P$ . A  $G_1$ -space  $\lambda(Q)$  is nuclear  $\Leftrightarrow s' \subset \lambda(Q) \Leftrightarrow Q \subset s$  ([34]).

#### 4. BASES IN NUCLEAR FRÉCHET SPACES

In this section all locally convex spaces will be metrisable and complete, that is Fréchet spaces (or  $F$ -spaces). A sequence  $(x_n)$  in an  $F$ -space  $E$  is called a *basis* if  $\forall x \in E \exists$  a unique sequence  $(\xi_n)$  of scalars with

$$x = \sum_{n=1}^{\infty} \xi_n x_n.$$

In this case we can find  $u_n \in E'$  such that

$$x = \sum_{n=1}^{\infty} u_n(x) x_n.$$

$(u_n)$  is called the *sequence of coordinate functionals*. For the case of sequence spaces  $\lambda(A)$ , if  $e_n$  denotes that sequence which has 1 as the  $n$ -th term but all other terms are zero,  $(e_n)$  is a basis, called the *canonical basis* of  $\lambda(A)$ . In case of nuclearity we have the following essential and powerful result, which is called the *Dynin-Mitiagin Theorem* ([15], [25]).

**Theorem 4.1.** *Let  $(|\cdot|_k)$  be a sequence of seminorms defining the topology of a nuclear  $F$ -space  $E$ . If  $(x_n)$  is a basis of  $E$  with coordinate functionals  $(u_n)$  and  $A = \{(|x_n|_k) : k = 1, 2, \dots\}$ , then the map which sends each  $x \in E$  to  $(u_n(x))$  is an isomorphism of  $E$  onto  $\lambda(A)$ .*

A Fréchet space which has a basis is separable. Every Fréchet-Schwartz space and so every nuclear  $F$ -space is also separable. Already Grothendieck had asked whether every  $FN$ -space has a basis. In the light of the Dynin-Mitiagin theorem a positive answer to Grothendieck's question would reduce any problem about nuclear Fréchet spaces to a problem about nuclear Köthe spaces. However in 1974 Mitiagin and Zobin ([48]) constructed an example of a nuclear Fréchet space which has no basis. Subsequently Djakov and Mitiagin ([11]) gave a procedure for constructing nuclear Fréchet spaces without bases. Several authors, including Bessaga, Dubinsky and Mitiagin proved among other theorems the following result.

**Proposition 4.2.** *Given any nuclear  $F$ -space  $E$ . There is a subspace of  $E$  which has no basis. There is also a quotient space of  $E$  which has no basis.*

However there is another problem posed by Pelczynski [30], which in general is still open.

**Problem 4.3.** Does every complemented subspace of a nuclear Köthe space  $\lambda(A)$  have a basis?

We recall that  $F$  is a *complemented subspace* of  $\lambda(A)$  if there is a continuous projection  $P : \lambda(A) \rightarrow \lambda(A)$  with  $P(\lambda(A)) = F$ . This is equivalent to  $\lambda(A) \simeq F \oplus G$  where  $F$  and  $G$  are closed subspaces of  $\lambda(A)$

In special cases Pelczynski's problem was solved positively. The earliest case was for power series spaces of finite type, due to Mitiagin and Henkin [27]. (cf. [26])

**Theorem 4.4.** *Every complemented subspace of a nuclear power series space of finite type has a basis.*

A rather simple consequence of this theorem is that if  $F$  is a complemented subspace of a nuclear power series space  $\Lambda_1(\alpha)$ , then  $F$  is isomorphic to some  $\Lambda_1(\beta)$ , where  $\alpha_n = O(\beta_n)$ . In his thesis, J. Krone [20], [21] formulated an abstract version of the so-called dead-end space method of Mitiagin-Henkin and refined their theorem in several directions. He proved, for example, that if  $T : \Lambda_1(\alpha) \rightarrow \Lambda_1(\alpha)$  is a continuous linear operator, then the closure of  $T(\Lambda_1(\alpha))$  has a basis. With his method it is also proved that for a nuclear power series spaces  $\Lambda_\infty(\alpha)$ , Pelczynski's problem has a positive answer provided  $\lim(\alpha_{n+1}/\alpha_n) = \infty$ . (cf. [14]). However, the following problem which is a special case of Pelczynski's problem, is still unsolved in its generality.

**Problem 4.5.** Does every complemented subspace of a nuclear power series space of infinite type have a basis?

We say two bases  $(x_n)$  and  $(y_n)$  of an  $F$ -space  $E$  are *quasiequivalent* if there is a permutation  $\rho$  and  $\tau_n > 0$  such that the map  $T : E \rightarrow E$  which is defined by  $Tx_n = \tau_n y_{\rho(n)}$  is an isomorphism. (cf. [8]) In [25] Mitiagin asked whether any two bases of a nuclear  $F$ -space are quasiequivalent.



A basis  $(x_n)$  of an  $F$ -space is *regular* if there is a sequence  $| \cdot |_k$  of seminorms defining the topology of  $E$  such that

$$\frac{|x_{n+1}|_k}{|x_{n+1}|_{k+1}} \leq \frac{|x_n|_k}{|x_n|_{k+1}}$$

for all  $n \in N$ . L. Crone and W.B. Robinson proved that if a nuclear Fréchet space has a regular basis, then all bases are quasiequivalent (cf. *Studia Math.* 52(1974), 203-207). By using the Dynin-Mitiagin theorem and Kolmogorov diameters, P. Djakov gave a remarkably short proof of this theorem (cf. *Studia Math.* 53 (1975), 269-271).

## 5. HIGHLIGHTS OF THE STRUCTURE THEORY

Throughout this section all locally convex spaces under consideration will be Fréchet spaces.

We already know (3.2. Theorem) that  $E$  is nuclear if and only if  $\Delta(s) = s' \subset \Delta(E)$ . Since  $(\log(n+1))$  is stable and  $s^N$  is nuclear, we have  $\Delta(s) = \Delta(s^N)$ . So  $s^N$  is an  $FN$ -space. Grothendieck has asked the question whether any  $FN$ -space  $E$  is isomorphic to a subspace of  $s^N$ . This was answered positively by Komura and Komura [19]. Some years later Ramanujan and Terzioğlu [32] extended their result as follows:

**Theorem 5.1.** *Let  $\Lambda_\infty(\alpha)$  be nuclear and  $\alpha$  a stable exponent sequence. Then  $\Lambda_\infty(\alpha)' = \Delta(\Lambda_\infty(\alpha)) \subset \Delta(E) \Leftrightarrow E$  is isomorphic to a subspace of  $\Lambda_\infty(\alpha)^N$ .*

We can consider this theorem as a characterization of subspaces of  $\Lambda_\infty(\alpha)^N$ . Certainly  $\Lambda_\infty(\alpha)$  is a complemented subspace of  $\Lambda_\infty(\alpha)^N$ , but whereas  $\Lambda_\infty(\alpha)^N$  has no continuous norm, the topology of  $\Lambda_\infty(\alpha)$  is defined by an increasing sequence of norms. So to characterize subspaces or quotient spaces of  $\Lambda_\infty(\alpha)$  or of  $\Lambda_1(\alpha)$ , we need tools other than diametral dimension.

To simplify the argument in what follows let us assume  $\alpha_m < \alpha_{m+1} \forall m \in N$ . Let

$$x = (x_n) \in U_{k+1} \Leftrightarrow \sum_{n=1}^{\infty} |x_n| e^{(k+1)\alpha_n} \leq 1$$

For each  $m$ , let  $y^m = (x_1, \dots, x_m, 0, 0, \dots)$  and  $z^m = x - y^m = (0, \dots, 0, x_{m+1}, \dots)$ . Then

$$\|z^m\|_k = \sum_{i=m+1}^{\infty} |x_i| e^{k\alpha_i} = \sum_{i=m+1}^{\infty} |x_i| \frac{e^{(k+1)\alpha_i}}{e^{\alpha_i}} \leq e^{-(\alpha_{m+1})}$$

and for  $j > k$

$$\begin{aligned} \|y^m\|_j &= \sum_{i=1}^m |x_i| e^{j\alpha_i} = \sum_{i=1}^m |x_i| e^{(k+1)\alpha_i} e^{(j-k-1)\alpha_i} \\ &\leq e^{(j-k-1)\alpha_m}. \end{aligned}$$

So we simply get for any  $m$  and  $j > k$

$$U_{k+1} \subset e^{(j-k-1)\alpha_m} U_j + \frac{1}{e^{\alpha_{m+1}}} U_k.$$

For any  $r \geq e^{\alpha_1}$ , let  $m$  be the smallest integer such that  $r \leq e^{\alpha_{m+1}}$ . Here we are using the fact  $\lim \alpha_n = \infty$ . Then we have the following

$$U_{k+1} \subset Cr^{j-k-1}U_j + \frac{1}{r}U_k$$

for some constant  $C > 0$  and for all  $r > 0$ . The assumption  $\alpha_m < \alpha_{m+1}$  was really not necessary, although it made our calculations neater.

Let  $U_1 \supset U_2 \supset \cdots \supset U_k$  be a base of neighborhoods of  $E$ . We say  $E$  satisfies the *condition*  $(\Omega)$  if  $\forall k \exists p \forall j \exists \mu \exists C > 0$  with

$$U_p \subset Cr^\mu U_j + \frac{1}{r}U_k, \quad \forall r > 0.$$

We have proved that  $\Lambda_\infty(\alpha)$  satisfies the condition  $(\Omega)$ . Note that if  $E$  has  $(\Omega)$  and  $F$  is a quotient space of  $E$ , then  $F$  has  $(\Omega)$  also. This follows from the fact that  $(Q(U_k))$  is a base of neighborhoods of  $F$  if  $Q : E \rightarrow F$  is a quotient map.

Somewhat dual to  $(\Omega)$ , we have the so-called *dominating norm condition*. Again  $E$  is an  $F$ -space and  $(\|\cdot\|_k)$  a sequence of seminorms defining its topology. We say  $E$  has *property*  $(DN)$  if  $\exists k_0 \forall k \exists p$  and  $C > 0$  with  $\|x\|_k^2 \leq C\|x\|_{k_0} \|x\|_p, \forall x \in E$ . It is easy to see that  $\|\cdot\|_{k_0}$  is in fact a norm and without loss of generality we can assume the topology of  $E$  is defined by an increasing sequence of norms, if  $E$  has  $(DN)$ . Further  $(DN)$  is inherited by subspaces. From  $\|e_n\|_k = e^{k\alpha_n}$ , for  $(e_n)$  in  $\Lambda_\infty(\alpha)$ , we can easily get that  $\Lambda_\infty(\alpha)$  has property  $(DN)$ .

Conditions  $(DN)$  and  $(\Omega)$  were introduced by Vogt [40] and Vogt and Wagner [44], [45] to characterize subspaces and quotient spaces of nuclear, stable power series spaces. However these and similar conditions are also essential in Vogt's ground breaking work in lifting or extension theorems in the category of  $F$ -spaces or more generally in examining when the functor  $\text{Ext}$  vanishes (cf. [42], [43], [45]), see also [29]. We will go into this rich theory so far it is necessary to describe the characterizations of subspaces and quotient spaces of nuclear, stable power series spaces of infinite type.

Given a short exact sequence of nuclear  $F$ -spaces

$$0 \longrightarrow E \xrightarrow{i} G \xrightarrow{q} F \longrightarrow 0.$$

This means  $i : E \rightarrow G$ ,  $q : G \rightarrow F$  are continuous linear maps,  $q^{-1}(0) = i(E)$ ,  $i^{-1}(0) = 0$  and  $q(G) = F$ . Hence  $i : E \rightarrow i(E)$  is an isomorphism by the open-mapping theorem, since  $i(E) = q^{-1}(0)$  is a closed subspaces and  $F$  is isomorphic to a quotient space of  $G$ . We have the following lifting theorem of Vogt.

**Theorem 5.2.** *Let  $0 \rightarrow E \rightarrow G \xrightarrow{q} F \rightarrow 0$  be an exact sequence of nuclear Fréchet space. Assume  $H$  is a nuclear Fréchet space which has  $(DN)$  and  $E$  has  $(\Omega)$ . Then every continuous linear map  $t : H \rightarrow G$  can be lifted to a map  $\tilde{t} : H \rightarrow E$ ; that is  $q\tilde{t} = t$ .*

Let  $\alpha$  be a stable exponent sequence and  $\Lambda(\alpha)$  a nuclear power series space, which can be finite or infinite type. Then we have

**Proposition 5.3.** *There is an exact sequence*

$$0 \rightarrow \Lambda(\alpha) \xrightarrow{i} \Lambda(\alpha) \xrightarrow{q} \Lambda(\alpha)^N \rightarrow 0$$

The construction of this exact sequence is not so difficult in case  $\Lambda(\alpha) = s$  [45], but to prove it in this generality quite a lot of elaborate calculations are needed [45]. We first note that this rather technical looking result says that  $\Lambda(\alpha)$  and  $\Lambda(\alpha)^N$  have same quotient spaces. Further stability of  $\alpha$  is necessary, since the result gives  $\Delta(\Lambda(\alpha)) = \Delta(\Lambda(\alpha)^N)$  which can be true only if  $\alpha$  is stable.

We can now prove in an elegant manner the following theorem (cf. [44], [45]), characterizing subspaces of power series spaces of infinite type.

**Theorem 5.4.** *Let  $\Lambda_\infty(\alpha)$  be nuclear and stable.  $E$  is isomorphic to a subspace of  $\Lambda_\infty(\alpha)$  if and only if  $\Lambda_\infty(\alpha)' \subset \Delta(E)$  and  $E$  has (DN).*

*Proof.* By 5.3. Proposition we have an exact sequence

$$0 \rightarrow \Lambda_\infty(\alpha) \rightarrow \Lambda_\infty(\alpha) \xrightarrow{q} \Lambda_\infty(\alpha)^N \rightarrow 0.$$

By 5.1 Theorem we have an imbedding  $t : E \rightarrow \Lambda_\infty(\alpha)^N$ , i.e.  $t$  is continuous, 1-1 and  $t(E)$  is closed. Since  $E$  has (DN) and  $\Lambda_\infty(\alpha)$  has ( $\Omega$ ) there is a continuous linear map  $\tilde{t} : E \rightarrow \Lambda_\infty(\alpha)$  such that  $q\tilde{t} = t$  by 5.2. Theorem. Clearly  $\tilde{t}$  is 1-1. Let  $\lim \tilde{t}(x_n) = y \in \Lambda_\infty(\alpha)$ . Then  $\lim q\tilde{t}(x_n) = \lim t(x_n) = q(y)$ . Since  $t : E \rightarrow t(E)$  is an isomorphism, we know  $\lim t(x_n)$  exists  $\Leftrightarrow \lim x_n$  exists. Let  $x = \lim x_n$ . Then  $\lim \tilde{t}(x_n) = \tilde{t}(x) = y$ . So  $\tilde{t}$  has closed range and thus by the open mapping theorem,  $\tilde{t}$  is an imbedding.  $\square$

As an immediate corollary we get that a nuclear Fréchet space which has property (DN), is isomorphic to a subspace of the space  $s$  of rapidly decreasing sequences.

Suppose we have the following diagram of  $F$ -spaces and continuous linear maps

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \uparrow & & \\
 & & & & | & & \\
 0 & \longrightarrow & E_1 & \xrightarrow{i_1} & E_2 & \xrightarrow{q_1} & Q \longrightarrow 0 \\
 & & & & \swarrow t & & \uparrow q_2 \\
 & & & & & & F_2 \\
 & & & & & & \uparrow i_2 \\
 & & & & & & F_1 \\
 & & & & & & \uparrow \\
 & & & & & & 0
 \end{array}$$

such that the row and the column are both exact and the diagram is commutative, that is  $q_1 t = q_2$ . We will now do some diagram chasing as in homological algebra.

We first define  $i : F_1 \rightarrow E_1 \oplus F_2$  as follows.

Given  $z \in F_1$ .  $q_2 i_2(z) = 0 = q_1 t i_2(z)$ . Since  $q_1^{-1}(0) = i_1(E_1)$ , there is a unique  $x \in E_1$  with  $i_1(x) = t i_2(z)$ . Define now  $f : F_1 \rightarrow E_1$  by setting  $f(z) = x$ . Then  $i_1(f(z)) = t i_2(z)$ . Now let us define  $i : F_1 \rightarrow E_1 \oplus F_2$  by  $i(z) = (f(z), -i_2(z))$ .  $i$  is certainly 1-1. Next, define  $q : E_1 \oplus F_2 \rightarrow E_2$  by setting  $q(x, y) = i_1(x) + t(y)$ .  $q i(z) = i_1(f(z)) - t i_2(z) = 0$ . So range of  $i$  is contained in the kernel of  $q$ . If  $q(x, y) = 0$  then  $i_1(x) = -t(y)$  so  $q_1 i_1(x) = 0 - q_1 t(y) = -q_2(y)$ . So  $y = i_2(z)$  some  $z \in F_1$ . Hence  $t(y) = t i_2(z) = i_1(f(z))$  and since  $i_1$  is 1-1,  $x = f(z)$  and  $q^{-1}(0)$  is contained in the range of  $i$ .

Further, if  $w \in E_2$ , then  $q_1(w) = q_2(y)$  for some  $y \in F_2$ . Since  $q_1(ty) = q_2(y) = q_1(w)$ . So  $t(y) - w \in i_1(E_1)$  and therefore there is  $x \in E_1$   $t(y) + i_1(x) = w = q(x, y)$ . Therefore  $q$  is a surjection. Thus we have constructed by this procedure the following exact sequence

$$0 \rightarrow F_1 \rightarrow E_1 \oplus F_2 \rightarrow E_2 \rightarrow 0.$$

**Lemma 5.5.** *If  $\Lambda_\infty(\alpha)' \subset \Delta(E)$ , then there is a closed subspace  $\tilde{E}$  of  $\Lambda_\infty(\alpha)$  and an exact sequence*

$$0 \rightarrow \Lambda_\infty(\alpha) \rightarrow \tilde{E} \rightarrow E \rightarrow 0$$

*Proof.* We go back to the exact sequence

$$0 \rightarrow \Lambda_\infty(\alpha) \rightarrow \Lambda_\infty(\alpha) \xrightarrow{q} \Lambda_\infty(\alpha)^N \rightarrow 0.$$

We have an imbedding  $t : E \rightarrow \Lambda_\infty(\alpha)^N$  by 5.3. Prop. Let  $\tilde{E} = q^{-1}(t(E))$ . Identifying  $E$  with  $t(E)$  we have the result.  $\square$

We are now ready to characterize quotient spaces of  $\Lambda_\infty(\alpha)$ . In fact our result yields more.

**Theorem 5.6.** ([45], 3.3. Lemma). *Let  $\Lambda_\infty(\alpha)' \subset \Delta(E)$  where  $\Lambda_\infty(\alpha)$  is a stable nuclear space. If  $E$  has property  $(\Omega)$ , then there is a subspace  $\tilde{E}$  of  $\Lambda_\infty(\alpha)$  and an exact sequence*

$$0 \rightarrow \Lambda_\infty(\alpha) \rightarrow \Lambda_\infty(\alpha) \rightarrow E \oplus \tilde{E} \rightarrow 0.$$

*In particular,  $E$  is isomorphic to a quotient space of  $\Lambda_\infty(\alpha)$ .*

*Proof.* We imbed  $i : E \rightarrow \Lambda_\infty(\alpha)^N$  by 5.1 Thm and let  $Q = \Lambda_\infty(\alpha)^N / i(E)$ . We apply our lemma to  $Q$  to get the column in the following commutative diagram. Note that we have also used 5.2. Thm.

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \uparrow & & \\
 0 & \longrightarrow & E & \longrightarrow & \Lambda_\infty(\alpha)^N & \longrightarrow & Q \longrightarrow 0 \\
 & & & & \swarrow & & \uparrow \\
 & & & & \tilde{E} & & \\
 & & & & \uparrow & & \\
 & & & & \Lambda_\infty(\alpha) & & \\
 & & & & \uparrow & & \\
 & & & & 0 & & 
 \end{array}$$

We apply our homological algebra procedure to get the line in the following commutative diagram

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \uparrow & & \\
 0 & \longrightarrow & \Lambda_\infty(\alpha) & \longrightarrow & E \oplus \tilde{E} & \longrightarrow & \Lambda_\infty(\alpha)^N \longrightarrow 0 \\
 & & & & \swarrow & & \uparrow \\
 & & & & \Lambda_\infty(\alpha) & & \\
 & & & & \uparrow & & \\
 & & & & \Lambda_\infty(\alpha) & & \\
 & & & & \uparrow & & \\
 & & & & 0 & & 
 \end{array}$$

Now once more we apply our homological algebra procedure to arrive at the conclusion.  $\square$

Let us continue the same line of argument further. Suppose  $E$  is isomorphic to a subspace and a quotient space of  $\Lambda_\infty(\alpha)$ . We have then a subspace  $\tilde{E}$  of  $\Lambda_\infty(\alpha)$  and an exact sequence

$$0 \rightarrow \Lambda_\infty(\alpha) \rightarrow \Lambda_\infty(\alpha) \rightarrow E \oplus \tilde{E} \rightarrow 0.$$

Since  $E \oplus \tilde{E}$  has  $(DN)$ , this sequence splits. That is  $E \oplus \tilde{E}$  and therefore  $E$  is a complemented subspace of  $\Lambda_\infty(\alpha)$ . We have proved now the following result.

**Theorem 5.7.** *Let  $\Lambda_\infty(\alpha)' \subset \Delta(E)$  where  $\Lambda_\infty(\alpha)$  is a stable nuclear space. If  $E$  has properties  $(DN)$  and  $(\Omega)$ , then it is isomorphic to a complemented subspace of  $\Lambda_\infty(\alpha)$ .*

So far we have dealt with the problem of characterizing subspaces and quotient spaces of nuclear, stable power series spaces of infinite type. We will now consider finite type power series spaces and characterize again subspaces and quotient spaces. The results in this case are similar to the case of power series spaces of infinite type, however the methods used in proving the theorems characterizing subspaces or quotient spaces are somewhat different. (cf. [44])

We say  $E$  has *property  $(DN)$*  if

$$\exists k_0 \quad \forall k \quad \exists 0 < \lambda < 1 \quad \exists p, \exists C > 0$$

with

$$\|x\|_k \leq C \|x\|_{k_0}^\lambda \|x\|_p^{1-\lambda}, \quad x \in E.$$

- Remarks 5.8.** (1) It is easy to see that  $\|\cdot\|_{k_0}$  is indeed a norm and so we can assume that the topology of  $E$  is defined by an increasing sequence of norms. Also  $(DN)$  implies  $(\underline{DN})$ ; hence we call  $(\underline{DN})$  the *weak dominating norm property*.
- (2)  $\Lambda_1(\alpha)$  satisfies  $(\underline{DN})$ . Again  $(\underline{DN})$  is inherited by subspaces. So a subspace of  $\Lambda_1(\alpha)$  also has  $(\underline{DN})$ .

The following is the counterpart of Thm. 5.4., which is also due to Vogt [44].

**Theorem 5.9.** *Let  $\Lambda_1(\alpha)$  be stable and nuclear. Then  $E$  is isomorphic to a subspace of  $\Lambda_1(\alpha)$  if and only if  $\Lambda_1(\alpha) \subset \Delta(E)$  and  $E$  has  $(\underline{DN})$ .*

$E$  has property  $(\overline{\Omega})$  if  $\forall p \exists q \forall k \exists C > 0$  such that

$$U_q \subset CrU_k + \frac{1}{r}U_p, \quad r > 0.$$

- Remarks 5.10.** (1) We have  $(\overline{\Omega})$  implies  $(\Omega)$  and  $(\overline{\Omega})$  is inherited by quotient spaces.
- (2) We can also show that  $\Lambda_1(\alpha)$  has property  $(\overline{\Omega})$  and therefore every quotient space of  $\Lambda_1(\alpha)$  also has  $(\overline{\Omega})$ .
- (3) Characterization of stable finite power series spaces in terms of these invariants were given in [41] (see cf. [1]).

**Theorem 5.11.** *Let  $\Lambda_1(\alpha)$  be stable and nuclear. Then  $E$  is isomorphic to a quotient space of  $\Lambda_1(\alpha)$  if and only if  $\Lambda_1(\alpha) \subset \Delta(E)$  and  $E$  has  $(\overline{\Omega})$ .*

We have already noted that every continuous linear map  $T : \Lambda_1(\alpha) \rightarrow \Lambda_\infty(\beta)$  is compact. Hence no infinite dimensional quotient space of  $\Lambda_1(\alpha)$  can be isomorphic to a subspace of  $\Lambda_\infty(\beta)$ . In particular, although  $\Lambda_\infty(\beta)$  has  $(\Omega)$ , it does not satisfy  $(\overline{\Omega})$ . For subspaces things are quite different. Since  $(DN)$  implies  $(\underline{DN})$ , we have from Theorem 5.8. that  $\Lambda_\infty(\beta)$  is isomorphic to a subspace of  $\Lambda_1(\alpha)$  if  $\Lambda_1(\alpha) \subset \Lambda_\infty(\beta)'$ , provided  $\Lambda_1(\alpha)$  is nuclear and stable. In particular  $\Lambda_\infty(\alpha)$

is isomorphic to a subspace of  $\Lambda_\infty(\alpha)$ . However we really do not need stability in this context as shown by Nurlu [28].

## 6. COMPLEMENTED $G_\infty$ -SPACES

In general we shall deal with the following problem: Given a nuclear Fréchet space  $E$  and assume  $\Delta(E) \subset \Lambda_\infty(\alpha)'$ , where  $\alpha$  is stable. When can we say that  $\Lambda_\infty(\alpha)$  is isomorphic to a complemented subspace of  $E$ ? The results given in this section are due to Aytuna, Krone and Terzioğlu, starting with [3] and continuing in [4] [5].

Let  $\lambda(A)$  be a nuclear  $G_\infty$ -space and  $E$  a locally convex space. We call a linear, continuous map  $i : \lambda(A) \rightarrow E$  a *local imbedding* if there is a continuous seminorm  $\|\cdot\|$  on  $E$  and a sequence  $\sigma = (\sigma_n)$  which satisfies the following condition:  $\sigma_n > 0 \ \forall n$ ,  $\sigma_n = 0(a_n)$ ,  $1/\sigma_n = 0(b_n)$  for some  $a, b \in A$ , such that

$$|x|_\sigma = \sum_{n=1}^{\infty} |\xi_n| \sigma_n \leq \|i(x)\|, \quad x = (\xi_n) \in \lambda(A).$$

- Remarks 6.1.**
- (1) Typically the sequence  $e = (1, 1, \dots)$  satisfies the condition required from  $\sigma$  in the definition. In fact this is how local imbedding was defined originally in [3].
  - (2) A local imbedding is certainly 1-1 and so  $\|\cdot\|$  is in fact a norm on the range of  $i$ .
  - (3) An imbedding of  $\lambda(A)$  into  $E$  is certainly a local imbedding, but the converse is false as the following example shows. Take  $\sigma = e$  and let  $i : \Lambda_\infty(\alpha) \rightarrow \Lambda_1(\alpha)$  be defined by  $i(\xi_n) = (2^{\alpha_n} \xi_n)$ .  $i$  is a local imbedding but it is a compact map.
  - (4) If  $i : \lambda(A) \rightarrow E$  is a local imbedding and  $j : E \rightarrow F$  an imbedding, then  $ji : \lambda(A) \rightarrow F$  is a local imbedding.

Let  $i : \lambda(A) \rightarrow E$  be a local imbedding where  $|x|_\sigma \leq \|i(x)\|$ . Given  $a \in A$ , let  $c_n = a_n/\sigma_n$ . From  $\sigma_n c_n = a_n$  we have  $c_n = 0(d_n)$  for some  $d = (d_n) \in A$ . Define now  $D_c : \lambda(A) \rightarrow \lambda(A)$  by  $D_c(x) = (x_n c_n)$ . Then  $D_c$  is continuous and  $|D_c(x)|_\sigma = |x|_a$  for every  $x \in \lambda(A)$ . Then  $i_a = iD_c$  and

$$|x|_a = |D_c x|_\sigma \leq \|i_a(x)\|.$$

Hence if there is a local imbedding  $i : \lambda(A) \rightarrow E$ , then there is a continuous seminorm  $\|\cdot\|$  on  $E$  such that for every  $a \in A$  we have a local imbedding  $i_a : \lambda(A) \rightarrow E$  which satisfies  $|x|_a \leq \|i_a(x)\|$ ,  $x \in \lambda(A)$ . Then, if we define  $T(x) = (i_a(x))$ , we have an imbedding of  $\lambda(A)$  into  $E^A$ .

**Proposition 6.2.** *If there is a local imbedding of  $\lambda(A)$  into  $E$ , then  $\lambda(A)$  is isomorphic to a subspace of  $E^A$ . If  $\lambda(A)$  is in particular a Fréchet space, then it is isomorphic to a subspace of  $E^{\mathbb{N}}$ .*

We now state our generic theorem and at least indicate its proof.

**Theorem 6.3.** *Let  $\lambda(A)$  be a nuclear, stable  $G_\infty$ -space and assume there is a local imbedding of  $\lambda(A)$  into a locally convex space  $E$ . Then each one of the following conditions implies that  $\lambda(A)$  is isomorphic to a complemented subspace of  $E$ .*

- (1)  $\lambda(A)$  is Fréchet and  $E$  is isomorphic to a closed subspace of  $\lambda(A)$ .
- (2)  $E$  is isomorphic to a closed subspace of  $\lambda(A)$
- (3)  $E$  is isomorphic to a sequentially complete quotient space of  $\lambda(A)$ .

Let  $i : \lambda(A) \rightarrow E$  be a local imbedding with

$$|x|_c = \sum |\xi_n| \leq \|i(x)\|, \quad x = (\xi_n) \in \lambda(A)$$

where  $\|\cdot\|$  is given by a semi-inner product  $(\cdot|\cdot)$ . We can assume this since each one of our assumptions implies that  $E$  is nuclear. Let  $E_n$  be the subspace of  $E$  spanned by  $i(e_1), \dots, i(e_{2n})$ . We note that  $E_n$  has dimension  $2n$ . We let  $(f_v)$  be a sequence in  $E$  which will be specified later and by the usual Gram-Schmidt process choose  $g_n \in E_n$  such that

$$\begin{aligned} (g_n|g_j) &= 0, & j &= 1, \dots, n-1 \\ \|g_n\| &= 1 \\ (g_n|f_v) &= 0, & v &= 1, \dots, n. \end{aligned}$$

Let

$$g_n = i \left( \sum_{j=1}^{2n} \mu_j^n e_j \right)$$

and so

$$\sum_{j=1}^{2n} |\mu_j| \leq \|g_n\| = 1.$$

Further for each continuous seminorm  $\|\cdot\|$  on  $E$ , we can find  $c \in A$  with

$$\begin{aligned} \|g_n\| &\leq \rho \sum_{j=1}^{2n} |\mu_j| c_j \leq \rho \left( \sum_{j=1}^{2n} |\mu_j^n| \right) c_{2n} \\ &\leq \tilde{\rho} a_n \end{aligned}$$

for some  $\tilde{\rho} > 0$ , where  $a \in \lambda(A)$ , satisfying  $c_{2n} = 0(a_n)$ . So if we define a new map  $j : \lambda(A) \rightarrow E$  by  $j(e_n) = g_n$ , we see that  $j$  is continuous.

Now we have to select  $(f_v)$  in each of the three cases. Let us only indicate how this is done in case  $E \subset \lambda(A)$ . In this case we simply let  $f_v = e_v$ . So if  $x = (\xi_j) \in E$ , then there is some  $\tilde{a} \in A$  with  $\|f_v\| = 0(\tilde{a}_v)$  and

$$|(g_n|x)|_{a_n} \leq \rho \sum_{v>n} |\xi_v| \tilde{a}_v a_n \leq \rho \sum_{v>n} |\xi_j| \tilde{a}_v a_v.$$

Using nuclearity we choose  $b \in A$  with

$$a_v \tilde{a}_v = 0 \left( \frac{1}{v^2} b_v \right)$$



to get

$$|(g_n|x)|a_n \leq \frac{\tilde{\rho}}{n^2} \|x\|_b.$$

So if we define now  $P : E \rightarrow E$  by

$$P(x) = \sum (g_n|x)g_n$$

we see  $P(g_n) = g_n$ ,  $P$  is a continuous projection with  $P(E)$  equal to the closure of the span of  $\{g_n : n \in N\}$ . It remains to prove that  $j : \lambda(A) \rightarrow E$  is an isomorphism onto  $P(E)$ .

To get some important but rather immediate consequences of our generic theorem, we specialize to power series spaces of infinite type. Throughout this section from now on, we assume  $\Lambda_\infty(\alpha)$  is *stable and nuclear*. Our first result is a direct consequence of Theorem 5.1. and Theorem 6.2.

**Corollary 6.4.** *Let  $E$  be a Fréchet space with  $\Lambda_\infty(\alpha)' \subset \Delta(E)$ . If there is a local imbedding of  $\Lambda_\infty(\alpha)$  into  $E$ , then  $E$  has a complemented subspace isomorphic to  $\Lambda_\infty(\alpha)$ . In particular, if there is a local imbedding of  $s$  into a nuclear Fréchet space  $E$ ,  $E$  has a complemented subspace isomorphic to  $s$ .*

Let  $E$  be a nuclear Fréchet space which has properties  $(DN)$  and  $(\Omega)$ . We know that the diametral dimension of  $E$  is equal to the diametral dimension of some power series space of infinite type. Assume  $\Delta(E \oplus E) = \Delta(E)$ . Then  $\Delta(E) = \Delta(\Lambda_\infty(\alpha)) = \Lambda_\infty(\alpha)'$  and  $\alpha$  is stable. So by Theorem 5.7.  $E$  is isomorphic to a complemented subspace of  $\Lambda_\infty(\alpha)$ . We have also the following lemma ([5]). (cf. [38]).

**Proposition 6.5.** *Let  $E$  be a nuclear space with  $(DN)$  and  $(\Omega)$  and assume  $\Delta(E) \subset \Delta(\lambda(B))$ , where  $\lambda(B)$  is a  $G_\infty$ -Köthe space. Then there is a local imbedding of  $\lambda(B)$  into  $E$ .*

Going back to the previous set-up, we now know that there is a local imbedding of  $\Lambda_\infty(\alpha)$  into  $E$ . Hence by Corollary 6.3.,  $E$  has a complemented subspace which is isomorphic to  $\Lambda_\infty(\alpha)$ . Applying Vogt's decomposition principle, we get the following result.

**Corollary 6.6.** *Let  $E$  be isomorphic to a complemented subspace of  $s$ . If  $\Delta(E \times E) = \Delta(E)$ , then  $E$  is isomorphic to some  $\Lambda_\infty(\alpha)$ . In particular  $E$  has a basis.*

## 7. DUALITY AND SMOOTH SEQUENCE SPACES

Let  $\lambda(Q)$  be a nuclear  $G_1$ -space and

$$A_Q = \{x \in \lambda(Q) : 1 \leq x_1 \leq x_2 \leq \dots\}.$$

Then  $\lambda(A_Q)$  is a nuclear  $G_\infty$ -space and the strong dual  $\lambda(Q)'_b$  is topologically isomorphic to a subspace of  $\lambda(Q)$ . We have the following result.

**Proposition 7.1.** *The dual  $\lambda(Q)'_b$  of a nuclear  $G_1$ -space  $\lambda(Q)$  is isomorphic to a dense subspace of the nuclear  $G_\infty$ -space  $\lambda(A_Q)$ . If  $\lambda(Q)$  is stable then  $\lambda(A_Q)$  is also stable.  $\lambda(Q)$  is barrelled if and only if  $\lambda(Q)'_b = \lambda(A_Q)$ . In particular, the dual of a nuclear power series space of finite type is a nuclear  $G_\infty$ -space.*

For the duals of  $G_\infty$ -spaces we have a result of the same nature. However there is a minor point which requires some care. If we let  $A$  be the set of all positive non-decreasing sequences, then the  $G_\infty$ -space  $\lambda(A)$  is equal to  $\varphi$ , the space of all sequences with only finitely many non-zero terms. Its dual is  $\omega$  which cannot be represented as a  $G_1$  space. So we assume now  $\lambda(A) \neq \varphi$  and let

$$Q_A = \{x \in \lambda(A) : 0 < x_{n+1} \leq x_n\}.$$

Then  $\lambda(Q_A)$  is a nuclear  $G_1$ -space.

**Proposition 7.2.** *Let  $\lambda(A)$  be a nuclear,  $G_\infty$ -space and  $\varphi \neq \lambda(A)$ . Then  $\lambda(A)'_b$  is isomorphic to a dense subspace of the nuclear  $G_1$ -space  $\lambda(Q_A)$ . If  $\lambda(A)$  is stable, then  $\lambda(Q_A)$  is also stable.  $\lambda(A)$  is barrelled if and only if  $\lambda(A)'_b = \lambda(Q_A)$ . In particular the dual of a nuclear power series space of infinite type is a nuclear  $G_1$ -space.*

In this duality set-up, we seek the concept which is dual to the concept of local imbeddings. Let  $\lambda(Q)$  be a nuclear  $G_1$ -space, for  $x = (x_j) \in \lambda(Q)$ ,  $x_j \geq 0$  let

$$B_x = \{y : |y_j| \leq x_j \quad \forall j \in N\}.$$

It is easy to see that each such set is bounded. In fact one can obtain a base of bounded subsets of  $\lambda(Q)$  in this manner. Assume now  $x_j > 0 \quad \forall j$  and  $x$  and  $(1/x_j) \in \lambda(Q)$ . A continuous linear map  $h : E \rightarrow \lambda(Q)$  is called a local quotient if there is some  $B \in \mathcal{B}(E)$  with  $B_x \subset \overline{h(B)}$ .

**Proposition 7.3.** *Let  $\lambda(Q)$  be a nuclear barrelled  $G_1$ -space and  $h : E \rightarrow \lambda(Q)$  a local quotient. Then its transpose  $h' : \lambda(Q)'_b \rightarrow E'_b$  is a local imbedding.*

After these preparations, we can now apply our generic result, Theorem 6.2., to obtain the following theorem ([38]).

**Theorem 7.4.** *Let  $\Lambda_1(\alpha)$  be a stable and nuclear. Let  $E$  be an  $F$ -space such that there is a local quotient from  $E$  into  $\Lambda_1(\alpha)$ . If  $E$  is either isomorphic to a subspace of  $\Lambda_1(\alpha)$  or to a quotient space of  $\Lambda_1(\alpha)$ , then  $E$  has a complemented subspace isomorphic to  $\Lambda_1(\alpha)$  itself.*

## 8. ASSOCIATED EXPONENT SEQUENCE

In this section we will summarize the highlights of a joint work by Aytuna, Krone and Terzioğlu [5]. Let  $E$  be a nuclear Fréchet space with properties  $(DN)$  and  $(\Omega)$ . Then we can find an exponent sequence  $\epsilon = (\epsilon_n)$  such that

$$\Lambda_1(\epsilon) \subset \Delta(E) \subset \Lambda_\infty(\epsilon)'.$$

Further

$$\begin{aligned} \Lambda_1(\alpha) \subset \Delta(E) &\Leftrightarrow \Lambda_1(\alpha) \subset \Lambda_1(\epsilon) \\ \Delta(E) \subset \Lambda_\infty(\alpha)' &\Leftrightarrow \Lambda_\infty(\epsilon)' \subset \Lambda_\infty(\alpha)'. \end{aligned}$$

We call  $\epsilon$  the *exponent sequence associated to  $E$* . From what we have stated above, we can see that it is unique up to equivalence.

**Lemma 8.1.** *Let  $E$  be a nuclear Fréchet space with  $(\underline{DN})$  and  $(\Omega)$ . If  $\lambda(A)$  is a  $G_\infty$ -Köthe space with  $\Delta(E) \subset \lambda(A)'$ , then there is a local imbedding of  $\lambda(A)$  into  $E$ . In particular, there is a local imbedding of  $\Lambda_\infty(\epsilon)$  into  $E$ .*

Let  $E$  be again a nuclear Fréchet space with  $(\underline{DN})$  and  $(\Omega)$ . Suppose now  $\Delta(E) = \Lambda_\infty(\epsilon)'$  and  $\epsilon$  is stable. We then know that  $E$  is isomorphic to a quotient space of  $\Lambda_\infty(\epsilon)$  (cf. Theorem 5.6.) and by our Lemma there is a local imbedding of  $\Lambda_\infty(\epsilon)$  into  $E$ . As a corollary of our generic Theorem 6.2. we get the following result.

**Theorem 8.2.** *Let  $E$  be a nuclear Fréchet space with  $(\underline{DN})$  and  $(\Omega)$ . Assume  $\Delta(E) = \Lambda_\infty(\epsilon)'$ . If  $\epsilon$  is stable, then  $E$  has a complemented subspace isomorphic to  $\Lambda_\infty(\epsilon)$ .*

**Remarks 8.3.** In the context of our theorem, the spaces  $E$  and  $\Lambda_\infty(\epsilon)$  have the same quotient spaces.

We have now an imbedding theorem

**Theorem 8.4.** *Let  $E$  be a nuclear Fréchet space with  $(\underline{DN})$  and  $(\Omega)$  and let  $\epsilon$  be the associated exponent sequence. Assume  $\Lambda_1(\epsilon)$  is nuclear. If  $Y$  is isomorphic to a subspace of  $\Lambda_1(\epsilon)$  and  $Y$  has  $(DN)$ , then  $Y$  is isomorphic to a subspace of  $E$ . If  $\epsilon$  is stable, then  $\Lambda_\infty(\epsilon)$  itself is isomorphic to a subspace of  $E$ .*

Let us now apply our results first to spaces of analytic functions. For a Stein manifold  $M$  of dimension  $d$ , let  $\mathcal{O}(M)$  be the space of analytic functions on  $M$  with the topology of uniform convergence on compact subsets of  $M$ .  $\mathcal{O}(M)$  is a nuclear Fréchet space. Let

$$\Delta^d = \{(z_j) \in \mathbb{C}^d : |z_j| < 1, \quad j = 1, 2, \dots, d\}.$$

In particular,  $\mathcal{O}(\Delta^d)$  is isomorphic to the power series space  $\Lambda_1(n^{1/d})$  and the space of entire functions  $\mathcal{O}(\mathbb{C}^d)$  is isomorphic to  $\Lambda_\infty(n^{1/d})$ . ([33]) Since  $\mathcal{O}(M)$  is isomorphic to a subspace of  $\mathcal{O}(\Delta^d)$ , it has property  $(\underline{DN})$ . ([6]) By the Oka-Cartan theorem,  $\mathcal{O}(M)$  is isomorphic to a quotient space of some  $\mathcal{O}(\mathbb{C}^m)$  and so it has also  $(\Omega)$ . In fact the space  $\mathcal{O}(M)$  has  $(n^{1/d})$  as its associated exponent sequence. Since  $(n^{1/d})$  is stable, we have the following consequence of Theorem 8.3.

**Theorem 8.5.**  *$\mathcal{O}(\mathbb{C}^d)$  is isomorphic to a subspace of  $\mathcal{O}(M)$ .*

We can apply our previous results to the space of analytic functions and obtain the following theorems

**Theorem 8.6.** *If  $\Delta(\mathcal{O}(M)) = \Delta(\mathcal{O}(\mathbb{C}^d))$  then the following are true:*

- a)  $\mathcal{O}(M)$  is isomorphic to a subspace of  $\mathcal{O}(\mathbb{C}^d)^N$ .
- b)  $\mathcal{O}(M)$  is isomorphic to a quotient space of  $\mathcal{O}(\mathbb{C}^d)$ .
- c)  $\mathcal{O}(M)$  is isomorphic to  $\mathcal{O}(\mathbb{C}^d) \oplus F$ , where  $F$  is isomorphic to a quotient space of  $\mathcal{O}(\mathbb{C}^d)$ .

Let us examine the case  $\mu = \Delta^r \times \mathbb{C}^{k-r}$  where  $1 \leq r < k$ . By a theorem proved independently by Djakov and Zahariuta (cf. [10]), we know that  $\mathcal{O}(\Delta^r \times \mathbb{C}^{k-r})$  is isomorphic to  $\mathcal{O}(\Delta \times \mathbb{C}^{k-1})$ .  $\Delta \times \mathbb{C}^{k-1}$  is a  $k$ -dimensional complete Reinhardt domain and by a result in [7] (Theorem 1.5.) its diametral dimension is equal to the diametral dimension of  $\mathcal{O}(\mathbb{C}^k)$ . In fact,  $\mathcal{O}(\Delta \times \mathbb{C}^{k-1})$  is isomorphic to the complete tensor product  $\mathcal{O}(\Delta \times \mathbb{C}) \tilde{\otimes} \mathcal{O}(\mathbb{C}^{k-2})$ .

Hence we can fix our attention on  $\mathcal{O}(\Delta \times \mathbb{C})$ . By Theorem 8.5. there is a certain quotient space  $X$  of  $\mathcal{O}(\mathbb{C}^2)$  such that  $\mathcal{O}(\Delta \times \mathbb{C})$  is isomorphic to  $\mathcal{O}(\mathbb{C}^2) \oplus X$ . When we examine the nature of this space  $X$ , we can see that it does not have  $(DN)$ , but we have the isomorphism

$$\mathcal{O}(\Delta^r \times \mathbb{C}^{k-r}) \simeq \mathcal{O}(\mathbb{C}^k) \oplus (X \tilde{\otimes} \mathcal{O}(\mathbb{C}^{k-2})) \quad \text{for } 1 \leq r < k.$$

However in the one dimensional case, we have  $\mathcal{O}(G) \simeq \mathcal{O}(\mathbb{C})$  if and only if  $\Delta(\mathcal{O}(G)) = \Delta(\mathcal{O}(\mathbb{C}))$ , where  $G$  is a domain in  $\mathbb{C}$  ([5]; Cor. 1.7.)

Going back to Stein manifolds, we know that if  $\mathcal{O}(M)$  has property  $(DN)$ , then its diametral dimension is equal to  $\Lambda_\infty(n^{1/d})'$ . ([37]) So we have the following result where  $(i) \Leftrightarrow (iii)$  was proved independently by several authors including Zaharyuta, Aytuna, Vogt. (cf. also [2], [6]).

**Theorem 8.7.** *For a  $d$ -dimensional Stein manifold  $M$ , the following conditions are equivalent*

- (i)  $\mathcal{O}(M)$  has  $(DN)$ .
- (ii)  $\mathcal{O}(M)$  is isomorphic to  $\mathcal{O}(\mathbb{C}^d)$ .
- (iii) Every bounded plurisubharmonic function on  $M$  is constant.

Let  $P(D)$  be an elliptic linear partial differential operator with constant coefficients on  $R^k$ ,  $k \geq 2$ . Let  $V \subset R^k$  be open and connected and let

$$N_p(V) = \{f \in C^\infty(V) : P(D)f = 0\}.$$

The situation in this case resembles the spaces of analytic functions. First of all we have the isomorphisms

$$N_p(B) \simeq \Lambda_1(n^{\frac{1}{k-1}}), \quad N_p(R^k) \simeq \Lambda_\infty(n^{\frac{1}{k-1}})$$

where  $B$  is any open, convex and bounded subset of  $R^k$ .  $N_p(V)$  has properties  $(\underline{DN})$  and  $(\Omega)$  and its associated exponent sequence is  $(n^{\frac{1}{k-1}})$ . [cf. [46], [22]] So we have the following result:

**Theorem 8.8.** *Let  $V$  be an open connected subset of  $R^k$ . Then  $N_p(V)$  is isomorphic to a subspace of  $N_p(B)$ , where  $B$  is open, convex and bounded.  $N_p(R^k)$  is isomorphic to a subspace of  $N_p(V)$ .  $N_p(V)$  has property  $(DN)$  if and only if it is isomorphic to  $N_p(R^k)$ .*

Let  $E$  be a nuclear Fréchet space and  $H(E'_b)$  be the space of holomorphic functions on the dual space  $E'_b$ , equipped with the topology of uniform convergence on compact subsets of  $E'_b$ .  $H(E'_b)$  is a nuclear Fréchet space. See for example [23] Börgens, Meise and Vogt ([8], [9]) have proved that  $H(\Lambda_\infty(\alpha)'_b)$  is isomorphic to a power series space  $\Lambda_\infty(\beta(\alpha))$ . This exponent sequence  $\beta(\alpha)$  depends on  $\alpha$  and *it is always stable*. Further  $\beta(\log(n+1))$  is equivalent to  $(\log(n+1))$  and so  $H(s'_b)$  is isomorphic to  $s$  itself [8]. Further we can prove ([38]; 5.5. Prop.) that if there is a local imbedding of  $\Lambda_\infty(\alpha)$  into  $E$ , then there is a local imbedding of  $\Lambda_\infty(\beta(\alpha))$  into  $H(E'_b)$ . With this fact at our disposal we can prove that following surprising result.

**Theorem 8.9.** *Let  $E$  be a complemented subspace of  $s$ . Then  $H(E'_b)$  is isomorphic to  $\Lambda_\infty(\beta(\alpha))$  where  $\Delta(E) = \Lambda_\infty(\alpha)'$ .*

Note that in the theorem, we do not know whether  $E$  has a basis, but we get that the much larger space  $H(E'_b)$  always has a basis.  $E$  is certainly a complemented subspace of  $H(E'_b)$ , namely the functions which are linear. So we have the following problem.

**Question.** Let  $E$  be a complemented subspace of  $s$ . Can one find a tame projection on  $H(E'_b)$  whose range is isomorphic to  $E$ ?

A positive answer to this question would mean a solution to the Problem 4.5. If  $E$  has  $(DN)$ ,  $(\Omega)$  and  $\alpha$  is its associated exponent sequence. Then it can be shown that  $\beta(\alpha)$  is the associated exponent sequence of  $H(E'_b)$ . This fact can yield more applications of our results in the context of infinite-dimensional holomorphy (see [38]). However the spaces  $H(V)$ ,  $V$  an open subset of  $E'_b$ , need to be researched further.

To conclude this section let us go back to the general setting where  $E$  is a nuclear space with  $(DN)$  and  $(\Omega)$  and  $\epsilon$  the associated exponent sequence, we know

$$\Delta(\Lambda_1(\epsilon)) = \Lambda_1(\epsilon) \subset \Delta(E) \subset \Lambda_\infty(\epsilon)' = \Delta(\Lambda_\infty(\epsilon))$$

and these inclusions give the best fit. We usually have to assume that  $\Lambda_1(\epsilon)$  is also nuclear, whereas nuclearity of  $\Lambda_\infty(\epsilon)$  follows from the assumption that  $E$  is nuclear. We assume  $\epsilon$  is also stable. Our Theorem 7.4. gives us a sufficient condition in terms of the existence of a local quotient from  $E$  into  $\Lambda_1(\epsilon)$  for the existence of a complemented subspace isomorphic to  $\Lambda_1(\epsilon)$ . Our generic theorem (Theorem 6.2.) or Theorem 8.2. gives us a sufficient condition for the existence of a complemented subspace isomorphic to  $\Lambda_\infty(\epsilon)$ . It may happen that  $E$  is isomorphic to  $\Lambda_1(\epsilon) \oplus F$  and to  $\Lambda_\infty(\epsilon) \oplus G$  for some Fréchet spaces  $F$  and  $G$ . Since every continuous linear map from  $\Lambda_1(\epsilon)$  into  $\Lambda_\infty(\epsilon)$  is compact, from the main theorem in [12], we obtain that  $\Lambda_1(\epsilon) \simeq E_1 \oplus \mathbb{C}^k$  and  $G \simeq G_1 \oplus E_1$  for some Fréchet spaces  $E_1$ . Since  $E_1$  is isomorphic to some  $\Lambda_1(\alpha)$  by the theorem of Mitiagin and Henkin (Theorem 4.4.) and  $\epsilon$  is stable, it follows easily that  $E_1$  is isomorphic to  $\Lambda_1(\epsilon)$  itself. Hence in this case we have that  $E$  is isomorphic to  $\Lambda_1(\epsilon) \oplus \Lambda_\infty(\epsilon) \oplus G_1$  for some Fréchet space  $G_1$ .

If  $E$  has the stronger dominating norm property  $(DN)$ , we have  $E$  is isomorphic to  $\Lambda_\infty(\epsilon)$ . If  $E$  has  $(\bar{\Omega})$ , which is stronger than  $(\Omega)$ , we know that  $E$  in this case is isomorphic to  $\Lambda_1(\epsilon)$ .

In a rather comprehensive work [43], Vogt generalized most of these results to the setting where  $\Lambda_\infty(\alpha)$  is a Schwartz space and  $E$  a Fréchet space whose topology is generated by a sequence of semi-inner products. He has used some intricate arguments about operators on Hilbert space to obtain new interpolation theorems and applied these to extend the structure theory. However he has been unable to extend Theorem 5.1. to this setting. If this can be done, then [43] will be shortened considerably. So we have the following open problem.

**Question.** Let  $\Lambda_\infty^{(2)}(\alpha)$  be a Schwartz space and  $\alpha$  is stable. Let  $E$  be a Fréchet-Hilbert space. If  $\Delta(\Lambda_\infty^{(2)}(\alpha)) \subset \Delta(E)$ , does it follow that  $E$  is isomorphic to a subspace of  $\Lambda_\infty^{(2)}(\alpha)^N$ ?

Finally, we note that invariants  $(DN)$ ,  $(\underline{DN})$ ,  $(\Omega)$  and  $(\overline{\Omega})$  and other similar invariants used by Vogt and others have been generalized to locally convex spaces by Terzioğlu, Yurdakul and Zahariuta [39].

## 9. SOME RECENT RESULTS

Aytuna has recently proved that the diametral dimension  $\Delta(O(M))$  of the space of holomorphic functions  $O(M)$  defined on a Stein manifold of dimension  $d$  is either equal to  $\Lambda_\infty(n^{1/d})'$  or to  $\Lambda_1(n^{1/d})$ . If the first case holds, we have that  $O(C^d)$  is isomorphic to a complemented subspace of  $O(M)$  (Thm. 8.5). Aytuna has posed the question whether  $O(M)$  has a complemented subspace isomorphic to  $O(\Delta^d)$  if  $\Delta(O(M)) = \Delta(O(\Delta^d)) = \Lambda_1(n^{1/d})$ . Our Theorem 7.4 suggests to seek a local quotient from  $O(M)$  into  $\Lambda_1(n^{1/d})$  in this case. For this purpose we shall look at the diametral dimension more closely.

For motivation let us consider the diametral dimension of  $\Lambda_1(\alpha)$ . We know

$$\Delta(\Lambda_1(\alpha)) = \{(\xi_n) : \lim \xi_n q_k^\alpha = 0\}$$

where  $0 < q_1 \leq q_2 \leq \dots < 1$ , with  $\lim q_k = 1$ . If  $B_1$  is the closed unit ball of  $\ell_1$ , then  $B_1$  is a bounded subset of  $\Lambda_1(\alpha)$  and it is easily proved that

$$d_n(B_1, V_k) = q_k^{\alpha n}$$

where

$$V_k = \{(\xi_n) : \sum_{n=1}^{\infty} |\xi_n| q_k^{\alpha n} \leq 1\}.$$

In particular

$$\begin{aligned} \Delta(\Lambda_1(\alpha)) &= \Delta_B(\Lambda_1(\alpha)) \\ &= \{(\xi_n) : \lim \xi_n d_n(B_1, V_k) = 0 \quad \forall k\}. \end{aligned}$$

In general for any lcs.  $E$  we have  $\Delta(E) \subset \Delta_B(E)$ . In [25] Mitiagin claimed that for a Fréchet space  $E$  one has  $\Delta(E) = \Delta_B(E)$  referring for the proof to a forthcoming paper. However this claim is false as we remarked in Section 1. If  $E$  is an  $FM$ -space which is not a Schwartz space, we have

$$\Delta(E) = c_0 \subset \ell_\infty \subset \Delta_B(E).$$

An  $FM$ -space is a Schwartz space if and only if it is quasinormable. Quasinormable spaces were defined by Grothendieck. We recall that a lcs.  $E$  is *quasinormable* if for every neighborhood  $U$  contains a neighborhood  $V$  so that for each  $r$  there is a bounded subset  $B$  with

$$V \subset B + rU.$$

Let us assume that  $L$  is some subspace with

$$B \subset \delta U + L$$

for some  $\delta > 0$ . Then

$$V \subset (\delta + r)U + L.$$

So this implies

$$d_n(V, U) \leq d_n(B, U) + r$$

but of course  $B$  depends on our choice of  $r$ . However in the context of Fréchet spaces one can prove the following result

**Proposition 9.1.** *If  $E$  is a quasinormable  $F$ -space then  $\Delta(E) = \Delta_b(E)$ .*

So  $\Delta(E)$  is not equal to  $\Delta_b(E)$  if and only if  $E$  is a Montel space which is not a Schwartz space.

In case  $E$  is quasinormable, we now know  $\Delta(E) = \Delta_B(E)$ . As a sequence space,  $\Delta_B(E)$  has a natural topology as a projective limit of the Fréchet spaces

$$\Delta_A = \{(\xi_n) : \lim d_n(A, U_k) = 0 \forall k\}$$

where  $B$  is some bounded subset. So

$$\Delta_B(E) = \{\cap \Delta_A : A \text{ bounded}\}.$$

On the other hand, if

$$\Delta_{k,m} = \{(\xi_n) : \lim \xi_n d_n(U_m, U_k) = 0\}$$

since  $d_n(U_{m+1}, U_k) \leq d_n(U_m, U_k)$  we have  $\Delta_{k,m} \subset \Delta_{k,m+1}$  and so

$$\Delta_k = \bigcup_{m \geq k} \Delta_{k,m}$$

is an  $LB$ -space. Therefore the sequence space

$$\Delta(E) = \bigcap_{k=1}^{\infty} \Delta_k$$

has a natural topology as the projective limit of a sequence of  $LB$ -spaces.

Let us go back to power series spaces of finite type. Since  $\Lambda_1(\alpha)$  is a Schwartz space, we have  $\Delta(\Lambda_1(\alpha)) = \Delta_B(\Lambda_1(\alpha))$ ; however much more is true, because

$$\Delta_B(\Lambda_1(\alpha)) = \{(\xi_n) : \lim \xi_n d_n(B_1, V_k) = 0 \quad \forall k\}.$$

This means that in this particular case it is sufficient to consider a single bounded subset  $B$ , to get  $\Delta_B$ . In particular,  $\Delta$  has a natural Fréchet space topology. Motivated by these considerations, we say a bounded subset  $B$  of a quasinormable  $F$ -space  $E$  is a *prominent set* if

$$\Delta(E) = \{(\xi_n) : \lim \xi_n d_n(B, U_k) = 0, \forall k\}.$$

The following result generalizes the above example.

**Proposition 9.2.** *Let  $\lambda(A)$  be a  $G_1$ -space and  $\ell$  an admissible space with closed unit ball  $B_\ell$ . Then  $B_\ell$  is a prominent set of  $\lambda^{\ell(A)}$ .*

We can give a necessary and sufficient condition for a bounded subset to be prominent. In the proof we compare the natural topologies on  $\Delta(E)$  and use the factorization theorem of Grothendieck.

**Proposition 9.3.** *A bounded subset  $B$  of an  $F$ -space  $E$  is prominent if and only if for each  $k$  there is an  $m$  and  $\rho > 0$  such that*

$$d_n(U_m, U_k) \leq \rho d_n(B, U_m)$$

for all  $n \in N$ .

A natural question is whether every quasinormable  $F$ -space has a prominent set. An application of Prop. 9.3. shows that in a power series space of infinite type there is no prominent subset, settling this question in the negative.

Suppose a nuclear  $F$ -space  $E$  has a prominent set  $B$ . Since any bounded subset, which contains a prominent set, is itself prominent we can assume  $B$  is absolutely convex, closed, Hilbertian and total. We also assume  $\| \cdot \|_1 \leq \| \cdot \|_2 \leq \dots$  is a sequence of Hilbertian norms defining the topology of  $E$  and

$$U_k = \{x : \|x\|_k \leq 1\}.$$

By passing to a subsequence we can further assume

$$d_n(U_{k+1}, U_k) \leq \rho d_n(B, U_{k+1}).$$

Let

$$i_{k+1,k} : E_{k+1} \rightarrow E_k, \quad j_{k+1} : E[B] \rightarrow E_{k+1}$$

be the canonical imbeddings, which are compact. So we can write

$$i_{k+1,k}x = \sum d_n(U_{k+1}, U_k)(x, x_n^{k+1})y_n^k$$

where  $(x_n^{k+1}), (y_n^k)$  are orthonormal sequences in  $E_{k+1}$  and  $E_k$  respectively. Also

$$j_{k+1}x = \sum d_n(B, U_{k+1})(x, z_n^{k+1})x_n^{k+1}$$

where  $(z_n^{k+1})$  and  $(x_n^{k+1})$  are orthonormal sequences in  $E[B]$  and  $E_{k+1}$ . Now define

$$U_k : E_{k+1} \rightarrow [B]$$

by  $U_k(x_n^{k+1}) = z_n^{k+1}$ . This is a unitary operator from the Hilbert space  $E_{k+1}$  into  $E[B]$ . Similarly define

$$V_k : E_{k+1} \rightarrow E_k$$

by

$$V_k(x_n^{k+1}) = \frac{d_n(U_{k+1}, U_k)}{d_n(B, U_{k+1})}y_n^k.$$

Then

$$U_{k+1,k} = V_k \circ j_{k+1} \circ U_k$$

and since  $V_k$  and  $U_k$  are invertible,

$$V_k^{-1} \circ i_{k+1,k} = U_k^{-1} = j_{k+1}$$



Recalling that the projective spectrum of  $E$  is  $(i_{k+1,k}, E_k)$ . In case  $E$  has a prominent set  $B$ , this shows that  $E$  has an equivalent projective spectrum involving only one Hilbert space  $E[B]$ .

The results of this section are contained in a paper entitled "Quasinormability and diametral dimension" which is to appear in the Turkish Journal of Mathematics.

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